chedule 4

Proofs of Claim

United States Bankruptcy Court/Southern District of New York	
Lehman Brothers Holdings Claims Processing Center	LEHMAN SECURITIES PROGRAMS
c/o Epiq Bankruptcy Solutions, LLC	PROOF OF CLAIM
FDR Station, P.O. Box 5076	PROOF OF CLAIM
New York, NY 10150-5076	
In Re: Lehman Brothers Holdings Inc., et al., Chapter 11 Case No. 08-13555 (JMP)	FILL HODGE OF HEIGHT AND
Lehman Brothers Holdings Inc., et al., Debtors. Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al.
(Jointly Administered)	08-13555 (JMP) 0000042090
Note: This form may not be used to file claims other than those	011 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
based on Lehman Programs Securities as listed on	3
http://www.lehman-docket.com as of July 17, 2009	State Carte
Name and address of Creditor: (and name and address where notices should be	e sent if different from Check this box to indicate that this
Creditor) Legend Logistics Ltd.	claim amends a previously filed claim.
c/o Capitol International LLC and Thomas R. Slome,	Esq.
	English & Klein. PC Court Claim Number:
	oue (If known)
1.0 201. 3231	York 11530-9194 Filed on:
Telephone number:97150 4553832 email: capitol@emirates.n	net ae
Name and address where payment should be sent (if different from above)	
, '	Check this box if you are aware that
See Rider Section 5	anyone else has filed a proof of claim relating to your claim. Attach copy of
	statement giving particulars.
Telephone number: Email Address:	
1. Provide the total amount of your claim based on Lehman Programs Securities as of Sentember 15, 2008, whether you curred the Lehman	ies Vous claim amount must be at
and whether such claim matured or became fixed or liquidated before or after S dollars, using the exchange rate as applicable on September 15, 2008. If you are	eptember 15, 2008. The claim amount must be stated in United States
dollars, using the exchange rate as applicable on September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs 5	Enling this claim with respect to more than one Lehman Programs Security,
	us Interest; See Rider
(xtequires)	
Check this box if the amount of claim includes interest or other charges in	addition to the principal amount due on the Lehman Programs Securities.
2. Provide the International Securities Identification Number (ISIN) for each this claim with respect to more than one Lebman Programs Security.	Lehman Programs Security to which this claim relates. If you are filing
this claim with respect to more than one Lehman Programs Security, you may a which this claim relates.	stach a schedule with the ISINs for the Lehman Programs Securities to
International Securities Identification Number (ISIN): XS0351261630	(Required)
3 Provide the Clearstream Bank Blacking Number a Turnel and Day	
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic appropriate (each, a "Blocking Number") for each Lehman Programs Security from your accountfolder (i.e. the hank broker or other entity that hadde much	c Reference Number, or other depository blocking reference number, as
and administration of the state of th	ing Numbers for each Lehman Programs Security to which this claim
relates.	J William J William State of the Control of the Con
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction	Defended Number and August
number:	a Reference Number and or other depository blocking reference
CA07696 Se	ee Rider and Certificate of Holding
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant you are filing this claim. You must acquire the relevant Clearstream Bank.	account number related to your Lehman Programs Securities for which
you are filing this claim. You must acquire the relevant Clearstream Bank, Euro	clear Bank or other depository participant account number from your
accountholder (i.e. the bank, broker or other entity that holds such securities on y numbers.	our benait). Beneficial holders should not provide their personal account
Accountholders Euroclear Bank, Clearstream Bank or Other Depositors Ba	sufficient Assessed BY
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Pa BNP Paribas Account No. 15512 at Clearstream	rucipant Account Number:
_(Swift Code CEDELULLXXX) (Required)	The second section of the second seco
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By a consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank	filing this claim, you ke or other depository to
disclose your identity and holdings of Lehman Programs Securities to the Debtor	K or other depository to
reconciling claims and distributions.	
Date. Signature: The person filing this claim must sign it Sign and	OCT 1 9 2009
of the creditor or other person authorized te-file this claim and	print name and title, if any,
number if different from the notice address above. Attach copy	of power of attorney, if FDIO DAMADURES SOLUTION
any.	of power of attorney, if EPIQ BANKRUPTC\ SOLUTIONS, LLC
Penalty for presenting fraudulent claim Fine time \$500,000 as in-	

08-13555-mg Doc 52612-1 Filed 04/27/16 Entered 04/27/16 18:48:52 Attachment A Pq 3 of 198

Debtors.	(Jointly Administered)
LEHMAN BROTHERS HOLDINGS INC., et al.	, Case No. 08-13555 (JMP)
In re:	Chapter 11
SOUTHERN DISTRICT OF NEW YORK	X
COLUMN DISTRICT OF NEW YORK	

RIDER TO LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM

1. <u>Basis for Claim</u>.

Legend Logistics Ltd. ("Claimant") is the beneficial holder of the securities listed on the attached Certificate of Holdings (the "Certificate"). The Certificate provides the amount, type and ISIN Code for the securities, as well as the account number of the institution through which Claimant holds these securities. A "Blocking Number" is also reflected on the Certificate.

Claimant makes claim against Lehman Brothers Holdings Inc. (the "Debtor") for the principal amount of these securities, which is \$500,000), plus any and all applicable interest or other earnings or charges to which Claimant is entitled as a result of the ownership of these securities, including without limitation interest accruing on the securities before and after the September 15, 2008 date on which the Debtor filed its bankruptcy petition. Interest accrued and unpaid to that date is \$9,270.80.

2. Reservation of Rights.

Claimant reserves the right to amend or supplement this Proof of Claim to reflect any additional claims against the Debtor, to specify additional interest, costs, expenses or other charges or claims incurred by the Claimant and to file additional claims that may be based on the same or additional documents.

3. No Waiver.

This Proof of Claim is filed to protect the Claimant from forfeiture of the Claim. The filing of this Proof of Claim is not: (a) a waiver or release of the Claimant's rights against any person, entity or property; (b) a consent by the Claimant to the jurisdiction of the Bankruptcy Court with respect to the subject matter of the Claim or any objection or other proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to move to withdraw the reference or otherwise to challenge the jurisdiction of this reference or otherwise challenge the jurisdiction of the Bankruptcy Court; (d) an election of remedy; (e) a waiver of any rights or claims the Claimant has against the Debtor or any person or entity with respect to any pending or future litigation or to any matters related to such litigation; or (f) a waiver of past, present or future defaults or events of default.

4. Notices.

All notices to the Claimant should be sent to:

MEYER, SUOZZI, ENGLISH & KLEIN, P.C. Attn: Thomas R. Slome, Esq. 990 Stewart Avenue, Suite 300 P.O. Box 9194 Garden City, New York 11530-9194 Email: tslome@msek.com

Email: tslome@msek.co

Phone: 516-592-5772

Legend Logistics Ltd. c/o Capitol International LLC Shop No. 2, G/Fl Emirates Centre (San Fashion Bldg) P.O. Box 41710 Karama, Dubai, U.A.E. Attn: Mr. R. Sakhrani

Email: capitol@emirates.net.ae

Phone: 97150 4553832

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5. Payment.

Payment on the claim should be made payable to Legend Logistics Ltd. and be sent to:

Legend Logistics Ltd. c/o BNP Paribas (Suisse) S.A. Selnaustrasse 16 CH -8022 Zurich Switzerland

Capitol International LLC P.O. Box 41710 Dubai United Arab Emirates

Geneva, 01.09.2009

Certificate of holding

We are pleased to confirm that you, as client of our Bank, held, as per Mr/Ms....., the following position:

<u>USD 500'000 - 7,5% LEHMAN BROTH TRSY 3/M LIB. 08-2018</u>

(ISIN code: XS0351261630)

Those securities are registered on our account n° 15512 named BNP Paribas/clients assets opened at Clearstream, 42 Avenue J.F. Kennedy, 1855 Luxembourg (CEDELULLXXX).

The Blocking Number is CA07696

This information is delivered upon your request and in the context of the filing of Proof in the Lehman Brothers Holding Incorporated's bankruptcy proceeding. It is given to you without any liability and should not be interpreted as an undertaking on our part.

Yours faithfully,

BNP PARIBAS (SUISSE) SA

08-13555-mg Doc 52612-1 Filed 04/27/16 Entered 04/27/16 18:48:52 Attachment A Pg 7 of 198

MEYER, SUOZZI, ENGLISH & KLEIN, P.C.

ONE COMMERCE PLAZA SUITE 1705 ALBANY, NEW YORK 12260 518-465-5551 FACSIMILE: 518-465-2033

1300 CONNECTICUT AVENUE, N.W. SUITE 600
WASHINGTON, DC 20036
202-955-6340
FACSIMILE: 202-223-0358

Counselors at Law
990 Stewart Avenue, Suite 300
P.O. Box 9194
Garden City, New York 11530-9194

516-741-6565
FACSIMILE: 516-741-6706
E-MAIL: meyersuozzi@msek.com
WEBSITE: http://www.msek.com

1350 Broadway, Suite 501 P.O. Box 822 New York, New York 10018-0026 212-239-4999 Facsimile: 212-239-1311

425 BROADHOLLOW ROAD, SUITE 405 P.O. BOX 9064 MELVILLE, NEW YORK 11747-9064 631-249-6565 FACSIMILE: 631-777-6906

THOMAS R. SLOME

October 16, 2009

VIA FEDEX

Epiq Bankruptcy Solutions, LLC Attn: Lehman Brothers Holdings Claims Processing 757 Third Avenue, 3rd Floor New York, NY 10017

> Re: In re Lehman Brothers Holdings Inc. Chapter 11 Case No. 08-13555 (JMP)

Dear Sir/Madam:

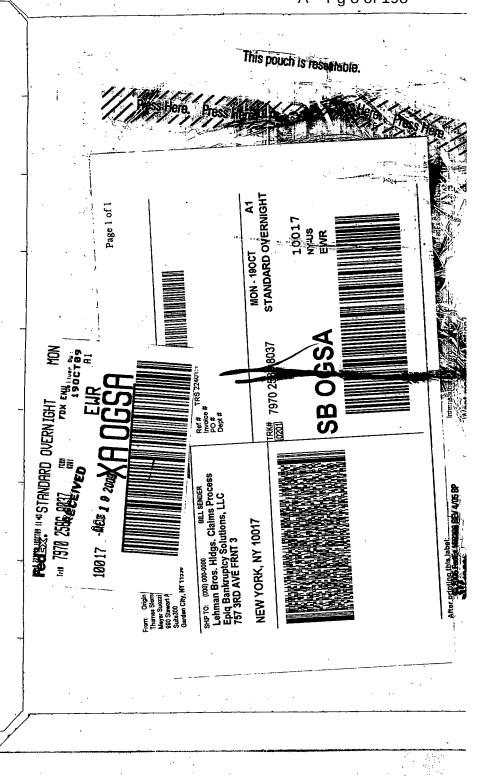
Enclosed is an original and one copy of a Lehman Securities Programs Proof of Claim, which we are submitting on behalf of our client, Legend Logistics Ltd.

Please file the original (together with its rider and other attachment) and return the copy, file stamped to show receipt, in the enclosed self-addressed post-paid envelope.

Very truly yours,

Thomas R. Slome

TRS/lg encl.



	nkruptcy Court/Southern Holdings Claims Proces		LEHMAN SEC	URITIES PROGRAMS
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		PROOF OF CLAIM		
In Re:		Chapter 11 Case No. 08-13555 (JMP)	Filed: USBC - Souther Lehman Brothers F	
Debtors.	Holdings Inc., et al.,	(Jointly Administered)	08-13555	
based on Lehn	n may not be used t nan Programs Secur nman-docket.com as			
Creditor)		and address where notices should be		Check this box to indicate that this claim amends a previously filed claim.
Creditor		est Financial Ser na, Kaerntnerstra		Court Claim Number:
Notices to: Bankhaus Schelhammer & Schattera AG 1010 Vienna, Goldschmiedgasse 3 Telephone number: Email Address:			Filed on:	
	. ,	be sent (if different from above)		Check this box if you are aware that anyone else has filed a proof of claim
Goldschm	iedgasse 3,	r & Schattera AG 1010 Vienna, Aus		relating to your claim. Attach copy of statement giving particulars.
1		mail Addressbank.office		
Programs Securiti and whether such dollars, using the you may attach a	es as of September 15, 2 claim matured or becam exchange rate as applica schedule with the claim	2008, whether you owned the Lehman	n Programs Securities on Septen September 15, 2008. The claim e filing this claim with respect to	e the amount owed under your Lehman nber 15, 2008 or acquired them thereafter, amount must be stated in United States o more than one Lehman Programs Security, attes.
1		=		nt due on the Lehman Programs Securities.
2. Provide the lithis claim with re which this claim	spect to more than one L	dentification Number (ISIN) for each ehman Programs Security, you may a	Lehman Programs Security to value attach a schedule with the ISINs	which this claim relates. If you are filing for the Lehman Programs Securities to
International Se	curities Identification [Number (ISIN): XS0231181	222 (Required)	
3. Provide the Cla appropriate (each from your account	earstream Bank Blocking , a "Blocking Number") htholder (i.e. the bank, br	g Number, a Euroclear Bank Electron for each Lehman Programs Security toker or other entity that holds such so	ic Reference Number, or other of for which you are filing a claim courities on your behalf). If you	depository blocking reference number, as You must acquire a Blocking Number are filing this claim with respect to more Programs Security to which this claim
Clearstream Bai	nk Blocking Number, E	Euroclear Bank Electronic Instructi	on Reference Number and or	other depository blocking reference
(Clear	stream) CA3	(Requir		
you are filing this accountholder (i.e numbers.	s claim. You must acqui e. the bank, broker or oth	re the relevant Clearstream Bank, Eu- ner entity that holds such securities or	roclear Bank or other depository n your behalf). Beneficial holde	ur Lehman Programs Securities for which participant account number from your rs should not provide their personal account
Accountholders -381		stream Bank or Other Depository		
5. Consent to Eu	roclear Bank, Clearstr	(Require ream Bank or Other Depository: B	y filing this claim, you	FOR COURT USE ONLY
consent to, and and disclose your idea	re deemed to have author	rized, Euroclear Bank, Clearstream B nman Programs Securities to the Debt	ank or other depository to	FILED / RECEIVED
Date.	Signature: The person	n filing this claim must sign it. Sign a	nd print name and title, if any,	OCT 2 1 2009
2009,	of the creditor or other number if different fro	person authorized to file this claim a m the notice address above. Attach co	nd state address and telephone opy of power of attorney, if	
Oct. 15	any.		••	EPIQ BANKRUPTCY SOLUTIONS, LLC



Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

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· 08-13555-mg Doc 52612-1 Filed 04/27/16 Entered 04/27/16 18:48:52 Attachment A Pg 11 of 198



Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076
New York, NY 10150-5076
United States of America
CERTIFIED MAIL / einschreiben

Vienna, 2009-10-15

Subject:

Proofs of Claim based on Lehman Programs Securities

Adjustment of our transmitted Proof of Claim Form dated 2009-09-28

Dear Sirs and Madams!

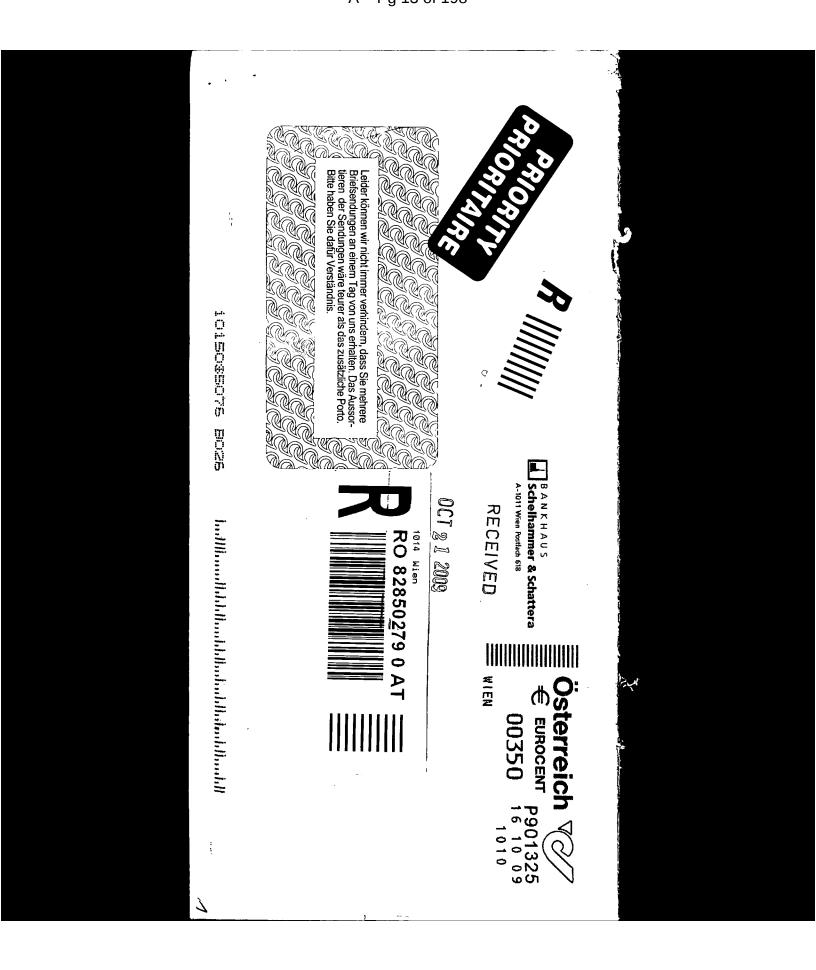
Referring to the subject mentioned above and our letter dated 2009-09-28 we kindly ask you to adjust our already transmitted Proof of Claim Form (dated see above) as we unfortunately have used a wrong blocking number. We hereby attach the "correct", duly completed form with the valid blocking number CA34598 in number 3.

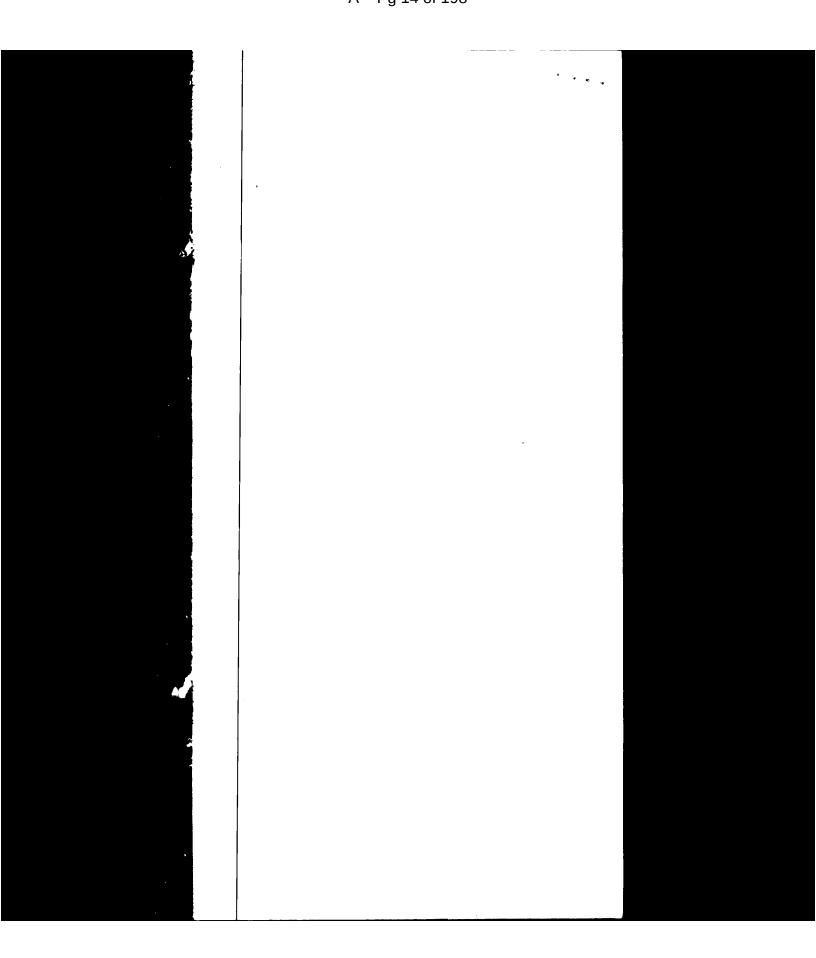
Except the mentioned blocking number, everything else stays the same.

We also ask you to affirm the reception of this letter including the form per e-mail bank.office@schelhammer.at for our records.

With kind regards,

One Attachment Proof of Claim Form





United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM
In Re: Lehman Brothers Holdings Inc., et al., Debtors. Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044272
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009	
Name and address of Creditor: (and name and address where notices should be creditor) Olli-Pekka Laitinen C/o Front Capital Ltd Aleksanterinkatu 48 A FI-00100 HELSINKI F(NLAND Telephone number: +35896829800Email Address: Fekka.ri	claim amends a previously filed claim. Court Claim Number: (If known) Filed on:
Name and address where payment should be sent (if different from above)	Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number: Email Address: 1. Provide the total amount of your claim based on Lehman Programs Securities Programs Securities as of September 15, 2008, whether you owned the Lehman and whether such claim matured or became fixed or liquidated before or after Securities, using the exchange rate as applicable on September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs Securities Amount of Claim: \$ 495 285 (Required) Check this box if the amount of claim includes interest or other charges in	Programs Securities on September 15, 2008 or acquired them thereafter, eptember 15, 2008. The claim amount must be stated in United States filing this claim with respect to more than one Lehman Programs Security, ecurity to which this claim relates. addition to the principal amount due on the Lehman Programs Securities.
2. Provide the International Securities Identification Number (ISIN) for each this claim with respect to more than one Lehman Programs Security, you may a which this claim relates. International Securities Identification Number (ISIN): F100089	ttach a schedule with the ISINs for the Lehman Programs Securities to
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic appropriate (each, a "Blocking Number") for each Lehman Programs Security for your accountholder (i.e. the bank, broker or other entity that holds such section one Lehman Programs Security, you may attach a schedule with the Blocki relates.	or which you are filing a claim. You must acquire a Blocking Number curities on your behalf). If you are filing this claim with respect to more ng Numbers for each Lehman Programs Security to which this claim
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction number: 51091012 HB00000304726 (Require	. ,
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant you are filing this claim. You must acquire the relevant Clearstream Bank, Euro accountholder (i.e. the bank, broker or other entity that holds such securities on numbers.	clear Bank or other depository participant account number from your
Accountholders Euroclear Bank, Clearstream Bank or Other Depository P. OSG1597-4 (Required	-
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Badisclose your identity and holdings of Lehman Programs Securities to the Debto reconciling claims and distributions.	rs for the purpose of FILED / RECEIVED
Date. Oct 16, Signature: The person filing this claim must sign it. Sign an of the creditor or other person authorized to file this claim and number if different from the notice address above. Attach cornection any. MD FROM T	d state address and telephone
Penalty for presenting fraudulent claim: Fine of up to \$500,000 or im	

POWER OF ATTORNEY

The undersigned, Olli-Pekka Laitinen (150348-059C), hereby appoints Front Capital Ltd. (business identity code: 2045856-1) as our true and lawful attorney for the purposes of representing ourselves in our name and/or on our behalf in connection with or in relation to:

- (i) the insolvency proceedings of:
 - a) Lehman Brothers Holdings Inc. (the process initiated by the petition filed on September 15, 2008 in the United States Bankruptcy Court for the Southern District of New York seeking relief under chapter 11 of the United States Bankruptcy Code);
 - b) Lehman Brothers Treasury Co. B.V (the process initiated by the provisional suspension of payment (voorlopige surseance van betaling) granted by the Amsterdam District Court on September 19, 2008); and/or
 - c) such other group company of Lehman Brothers Holdings Inc. as Front Capital Ltd. deems appropriate for the purposes of supervising our interests;

(hereinafter referred to as the "Insolvency Proceedings") in each case, in our capacity of creditors under certain structured notes issued by Lehman Brothers Treasury Co. B.V and guaranteed by Lehman Brothers Holdings Inc. (notes with identification number(s) FI0008903000);

- (ii) any agreements, instruments and other documents in relation to the Insolvency Proceedings and any instruments and documents to be delivered by us under and in relation to the Insolvency Proceedings and sending documents and notices and providing the required information and taking any other actions under and in relation to the Insolvency Proceedings; and
- (iii) authorizing/appointing a third party attorney and/or attorney-at-law office in relation to the above and representing ourselves in our name and/or on our behalf towards such third party attorney and/or attorney-at-law office and agreeing of the fees and other costs in relation to such authorization/appointment in our name and/or on our behalf.

We hereby approve all that the said representative shall do or cause to be done by virtue of this Power of Attorney.

NOTE HOLDER:

This Power of Attorney shall be governed by Finnish law.

BUE COOL

Dated: 14-10-2018

Olli-Pekka Laitinen

Print name of person signing

To:

Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076

From:

Front Capital Ltd Aleksanterinkatu 48 A FI-00100 Helsinki Finland

Lehman Securities Programs Proof of Claims

Enclosed please find ten (10) Securities Programs Proof of Claims related to four (4) different securities issued by Lehman Brothers Treasury Co. B.V. and guaranteed by Lehman Brothers Holdings Inc.:

- 1. 4 YR CROSS ASSET ALPHA LOCKER, ISIN: F10008903000 (7 Proof of Claims)
- 2. NOTES LINKED TO S&P BRIC INDEX, ISIN: FI0003025379 (1)
- 3. 4 SINGLE STOCK ALPHA NOTE, ISIN: FI0003025882 (1)
- RETURN LOCK 2012 NOTE, ISIN: FI0003026351 (1)

All these securities have been issued in the Finnish clearing system (Euroclear Finland Ltd, former APK Ltd).

The following information has been used when filling in the Proof of Claims:

- 1. Eur/Usd exchange rate 1,4151 (ECB fixing rate as of September 15, 2008)
- 2. ISIN code of the related security
- 3. Blocking number registered and provided by the custodian bank
- 4. Identification number of the relevant custodian bank

The Proof of Claims are signed by Front Capital Ltd based on the power of attorney (copies attached).

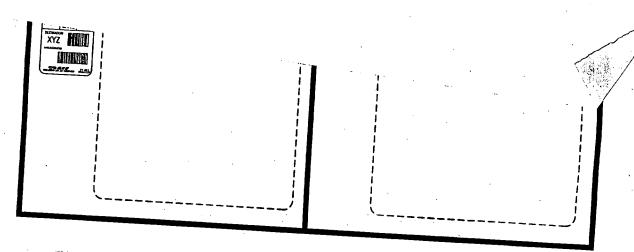
Any questions related to the Proof of Claims we ask kindly to contact Front Capital Ltd/Mr Pekka Rikala, email: pekka.rikala@front.fi, phone: +358 9 6829 8017

Pekka Rikala

Managing Director

Front Capital Ltd







United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM
In Re: Lehman Brothers Holdings Inc., et al., Debtors. Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044274
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009	
Name and address of Creditor: (and name and address where notices should be Creditor) Jari Vanhatalo C/o Front Capital Ltd Aleksanterinkatu 48A FI-00100 HELSINKI FINLANO Telephone number: +35896829800 Email Address: Pekka.ri Name and address where payment should be sent (if different from above)	Cleek this box to indicate that this claim amends a previously filed claim. Court Claim Number:
Telephone number: Email Address:	anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
1. Provide the total amount of your claim based on Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman and whether such claim matured or became fixed or liquidated before or after S dollars, using the exchange rate as applicable on September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs Amount of Claim: \$	n Programs Securities on September 15, 2008 or acquired them thereafter, september 15, 2008. The claim amount must be stated in United States e filing this claim with respect to more than one Lehman Programs Security, Security to which this claim relates.
 Check this box if the amount of claim includes interest or other charges in Provide the International Securities Identification Number (ISIN) for each this claim with respect to more than one Lehman Programs Security, you may a which this claim relates. 	Lehman Programs Security to which this claim relates. If you are filing attach a schedule with the ISINs for the Lehman Programs Securities to
International Securities Identification Number (ISIN): F10008	903000 (Required)
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electron appropriate (each, a "Blocking Number") for each Lehman Programs Security from your accountholder (i.e. the bank, broker or other entity that holds such se than one Lehman Programs Security, you may attach a schedule with the Block relates.	for which you are filing a claim. You must acquire a Blocking Number curities on your behalf). If you are filing this claim with respect to more
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instructinumber:	
4. Provide the Clearstream Bank, Euroclear Bank or other depository participar you are filing this claim. You must acquire the relevant Clearstream Bank, Euroccountholder (i.e. the bank, broker or other entity that holds such securities on numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository F.	at account number related to your Lehman Programs Securities for which oclear Bank or other depository participant account number from your your behalf). Beneficial holders should not provide their personal account
1730744 - 7 (Required	•
 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Badisclose your identity and holdings of Lehman Programs Securities to the Debt reconciling claims and distributions. Date. Signature: The person filing this claim must sign it. Sign and the sign is sign is sign and the sign is sig	r filing this claim, you ank or other depository to ors for the purpose of The purpose of OCT 2 2 2009
number if different from the notice address above. Attach co	py of power of attorney, if

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

POWER OF ATTORNEY

The undersigned, Jari Vanhatalo (310763-019H), hereby appoints Front Capital Ltd. (business identity code: 2045856-1) as our true and lawful attorney for the purposes of representing ourselves in our name and/or on our behalf in connection with or in relation to:

- (i) the insolvency proceedings of:
 - a) Lehman Brothers Holdings Inc. (the process initiated by the petition filed on September 15, 2008 in the United States Bankruptcy Court for the Southern District of New York seeking relief under chapter 11 of the United States Bankruptcy Code);
 - b) Lehman Brothers Treasury Co. B.V (the process initiated by the provisional suspension of payment (voorlopige surseance van betaling) granted by the Amsterdam District Court on September 19, 2008); and/or
 - c) such other group company of Lehman Brothers Holdings Inc. as Front Capital Ltd. deems appropriate for the purposes of supervising our interests;

(hereinafter referred to as the "Insolvency Proceedings") in each case, in our capacity of creditors under certain structured notes issued by Lehman Brothers Treasury Co. B.V and guaranteed by Lehman Brothers Holdings Inc. (notes with identification number(s) F10008903000);

- (ii) any agreements, instruments and other documents in relation to the Insolvency Proceedings and any instruments and documents to be delivered by us under and in relation to the Insolvency Proceedings and sending documents and notices and providing the required information and taking any other actions under and in relation to the Insolvency Proceedings; and
- (iii) authorizing/appointing a third party attorney and/or attorney-at-law office in relation to the above and representing ourselves in our name and/or on our behalf towards such third party attorney and/or attorney-at-law office and agreeing of the fees and other costs in relation to such authorization/appointment in our name and/or on our behalf.

We hereby approve all that the said representative shall do or cause to be done by virtue of this Power of Attorney.

This Power of Attorney shall be governed by Finnish law.

Dated: 15.10 2008

NOTE HOLDER:

Jari Vanhatalo

Print name of person signing

Thue (on

To:

Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076

From:

Front Capital Ltd Aleksanterinkatu 48 A FI-00100 Helsinki Finland

Lehman Securities Programs Proof of Claims

Enclosed please find ten (10) Securities Programs Proof of Claims related to four (4) different securities issued by Lehman Brothers Treasury Co. B.V. and guaranteed by Lehman Brothers Holdings Inc.:

- 1. 4 YR CROSS ASSET ALPHA LOCKER, ISIN: F10008903000 (7 Proof of Claims)
- 2. NOTES LINKED TO S&P BRIC INDEX, ISIN: FI0003025379 (1)
- 3. 4 SINGLE STOCK ALPHA NOTE, ISIN: FI0003025882 (1)
- RETURN LOCK 2012 NOTE, ISIN: FI0003026351 (1)

All these securities have been issued in the Finnish clearing system (Euroclear Finland Ltd, former APK Ltd).

The following information has been used when filling in the Proof of Claims:

- 1. Eur/Usd exchange rate 1,4151 (ECB fixing rate as of September 15, 2008)
- 2. ISIN code of the related security
- 3. Blocking number registered and provided by the custodian bank
- Identification number of the relevant custodian bank

The Proof of Claims are signed by Front Capital Ltd based on the power of attorney (copies attached).

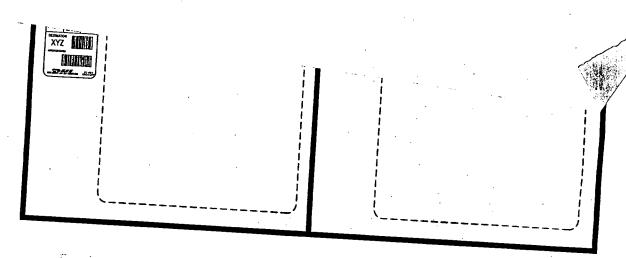
Any questions related to the Proof of Claims we ask kindly to contact Front Capital Ltd/Mr Pekka Rikala, email: pekka.rikala@front.fi, phone: +358 9 6829 8017

Pekka Rikala

Managing Director

Front Capital Ltd







subject to future amendment

Lehman Brothers			LEHMAN SEC PROC	URITIES PROGRAMS OF OF CLAIM
In Re:	Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Contrait Dio	Southern District of New York thers Holdings Inc., Et Al. 13555 (JMP)
based on Lehr	m may not be used to nan Programs Securi hman-docket.com as	o file claims other than those ties as listed on of July 17, 2009		0000044569
Creditor) Luzerner Kantor Legal & Complia Pilatusstrasse 1 CH-6002 Luzerr	nalbank AG ance Department 2 n 4 86 / Mail: peter.feld	Baker & McKer att. Ira A. Reid 1114 Avenue o New York, 100	notices also to: nzie LLP f the Americas	Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: (If known) Filed on: ernet.com
Name and addres	s where payment should	be sent (if different from above)		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Programs Securit and whether such dollars, using the you may attach a Amount of Clair Check this Claim with re which this claim with re which this claim.	ies as of September 15, and ies as of September 15, and ies an	008, whether you owned the Lenman e fixed or liquidated before or after Soble on September 15, 2008. If you are amounts for each Lehman Programs (Required) a includes interest or other charges in lentification Number (ISIN) for each chman Programs Security, you may a number (ISIN): CH00271206	Programs Securities on Septement 15, 2008. The claim is effining this claim with respect to Security to which this claim relaplus accrued and accruing permitted by agreement or addition to the principal amount. Lehman Programs Security to what a schedule with the ISINs. (Required)	by law at due on the Lehman Programs Securities. which this claim relates. If you are filing for the Lehman Programs Securities to
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number:	nbers see attachm			
4. Provide the Cloyou are filing this accountholder (i.e numbers. SIX	carstream Bank, Euroclea claim. You must acquir e. the bank, broker or oth SIS AG, Baselstr	r Bank or other depository participat	nt account number related to you oclear Bank or other depository your behalf). Beneficial holde Participant Account Number:	
consent to, and ar	e deemed to have author, nity and holdings of Leh s and distributions.	eam Bank or Other Depository: By ized, Euroclear Bank, Clearstream By man Programs Securities to the Debt	y filing this claim, you ank or other depository to ors for the purpose of	FILED RECEIVED
Date. 2009, Oct. 23	of the creditor or other number if different from any. Baker & McF	filing this claim must sign it. Sign a person authorized to file this claim and the notice address above. Attach consider LLP	py of power of attorney, if	OCT 2 3 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC
Penalty	for presenting fraudulen	claim: Fine of up to \$500,000 or in	nprisonment for up to 5 years, o	OF DOM: TO 0.3.C. 98 132 and 3371

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Lehman Brothe		•		CURITIES PROGRAMS OF OF CLAIM
In Re: Lehman Brothe Debtors.	rs Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Lehman Brothers	ern District of New York Holdings Inc., Et Al. 55 (JMP) 0000044573
based on Leh	orm may not be used t iman Programs Secur <u>climan-docket.com</u> as	o file claims other than those ties as listed on of July 17, 2009		
Creditor) Luzerner Kanto Legal & Compl Pilatusstrasse CH-6002 Luze	onalbank AG liance Department 12 rn 24 86 / Mail: peter.feld	Baker & McKer att. Ira A. Reid 1114 Avenue o New York, 100	I notices also to: nzie LLP of the Americas	Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: (If known) Filed on: ernet.com
Name and addre	ss where payment should	be sent (if different from above)		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Programs Securiand whether suc dollars, using the you may attach a Amount of Clai Check this Provide the this claim with rewhich this claim	total amount of your claim ties as of September 15, 2 h claim matured or became exchange rate as applical a schedule with the claim a 7'136'224.35 box if the amount of claim International Securities Idespect to more than one Le relates.	008, whether you owned the Lehman e fixed or liquidated before or after S oble on September 15, 2008. If you are mounts for each Lehman Programs S (Required) includes interest or other charges in entification Number (ISIN) for each chann Programs Security, you may a	Programs Securities on Septen eptember 15, 2008. The claim if illing this claim with respect to Security to which this claim religious accrued and accruing permitted by agreement or addition to the principal amous Lehman Programs Security to wittach a schedule with the ISINs	interest to the extent
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consent to, and an	re deemed to have authorize	red, Euroclear Bank, Clearstream Ban nan Programs Securities to the Debto	nk or other depository to	FILED / RECEIVED
Date. 2009, Oct. 23	of the creditor or other pumber if different from any. Baker & McK	, , ,	d state address and telephone by of power of attorney, if	OCT 2 3 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC
Penalty	for presenting fraudulent	claim: Fine of up to \$500,000 or im	prisonment for up to 5 years, or	r both. 18 U.S.C. §§ 152 and 3571

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New York, NY 10130-3076 In Re: Lehman Brothers Holdings Inc., et al., Debtors. Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered) Note: This form may not be used to file claims other than those	
based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009	
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) Please send all notices also to: Baker & McKenzie LLP Legal & Compliance Department Pilatusstrasse 12 CH-6002 Luzern T: +41 41 206 24 86 / Mail: peter.felder@lukb.ch T: +1 212 626 4100 / Mail: ira.a.reid@bakernet.com Telephone number: Name and address where payment should be sent (if different from above) Please send all notices also to: Court Claim Number: (If known) Filed on: T: +1 212 626 4100 / Mail: ira.a.reid@bakernet.com Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: (If known) Filed on: T: +1 212 626 4100 / Mail: ira.a.reid@bakernet.com Check this box if you are aware the anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.	
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security to which this claim relates. Amount of Claim: 3'642'006.60 (Required) Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities to this claim with respect to more than one Lehman Programs Securities to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.	s.
International Securities Identification Number (ISIN): XS0269969027 (Required) 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to mor than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: Blocking numbers see attachment (Required)	2
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal accountments. Euroclear Bank S.A., BE-Brussels Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: ACCOUNT Number 94218 (Required) FOR COURT USE ONLY	h ount
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions. Date. Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. Baker & McKenzie LLP Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. § 152 and 3571	c

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United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM			
New York, NY 1 In Re: Lehman Brothers Debtors.	0150-5076 Chapter 11 Case No. 08-13 (Jointly Admin			C - Southern District of New York Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044605	
based on Lehn	m may not be used to file claims on the programs Securities as listed aman-docket.com as of July 17, 20	on	I TIIO OF ACE I	S FOR COOK! OUD SILE.	
Creditor) Luzerner Kantor Legal & Complia Pilatusstrasse 1 CH-6002 Luzer T: +41 41 206 2 Telephone numbe	ance Department 2 1 4 86 / Mail: peter.felder@lukb.ch	Please send al Baker & McKer att. Ira A. Reid 1114 Avenue of New York, 100 T: +1 212 626	I notices also to: nzie LLP of the Americas	Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: (If known) Filed on: Crnet.com Check this box if you are aware that anyone clse has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.	
Telephone number: Email Address: 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$ (Required) Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.					
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. International Securities Identification Number (ISIN): XS0302350888 (Required)					
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:					
_	nbers see attachment	(Requi			
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. Euroclear Bank S.A., BE-Brussels Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:					
Account number 94218 (Required)					
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.					
Date. 2009, Oct. 23	Signature: The person filing this claim of the creditor or other person authorize number if different from the notice add any. Baker & McKenzie LLP for presenting fraudulent claim: Fine o	ed to file this claim a ress above. Attach c	opy of power of attorney, if	EPIQ BANKRUPTCY SOLUTIONS, LLC	
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Attachment to Proof of Claim ISIN XS0302350888

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United States Bankruptcy Court/Souther Lehman Brothers Holdings Claims Proces c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		LEHMAN SEC PROC	URITIES PROGRAMS OF OF CLAIM
In Re:	Chapter II	Filed: USBC - Sou	thern District of New York
Lehman Brothers Holdings Inc., et al., Debtors.	Case No. 08-13555 (JMP) (Jointly Administered)	Lehman Brothe 08-13	ors Holdings Inc., Et Al. 3555 (JMP) 0000044610
Note: This form may not be used to based on Lehman Programs Secur http://www.lehman-docket.com as	ities as listed on		
Name and address of Creditor: (and name Creditor)		sent if different from	Check this box to indicate that this claim amends a previously filed claim.
Luzerner Kantonalbank AG	Baker & McKer		Court Claim Number:
Legal & Compliance Department Pilatusstrasse 12	att. Ira A. Reid 1114 Avenue o		(If known)
CH-6002 Luzern T: +41 41 206 24 86 / Mail: peter.feld	New York, 100 er@lukb.ch T: +1 212 626	36, US 4100 / Mail: ira.a.reid@bake	Filed on: rnet.com
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you may attach a schedule with the claim 4'328'264.52 Amount of Claim: \$ Check this box if the amount of claim	2008, whether you owned the Lehmar he fixed or liquidated before or after S bible on September 15, 2008. If you are amounts for each Lehman Programs 3 (Required) n includes interest or other charges in destification Number (ISIN) for each	Programs Securities on Septem eptember 15, 2008. The claim a cifling this claim with respect to Security to which this claim rela plus accrued and accruing i permitted by agreement or addition to the principal amoun	amount must be stated in United States of more than one Lehman Programs Security, ites. Interest to the extent by law at due on the Lehman Programs Securities. Which this claim relates. If you are filing
International Securities Identification	Number (ISIN): XS02744434	22 (Required)	
3. Provide the Clearstream Bank Blocking appropriate (each, a "Blocking Number") from your accountholder (i.e. the bank, br than one Lehman Programs Security, you relates.	for each Lehman Programs Security in oker or other entity that holds such so may attach a schedule with the Block	for which you are filing a claim. curities on your behalf). If you ling Numbers for each Lehman I	are filing this claim with respect to more Programs Security to which this claim
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numbers. Euroclear Bank S.A.,	re the relevant Clearstream Bank, but her entity that holds such securities on BE-Brussels	your behalf). Beneficial holder	ir Lehman Programs Securities for which participant account number from your is should not provide their personal account
Accountholders Euroclear Bank, Clear Account number 94218	stream Bank or Other Depository I (Require		DOD COURT HEE ONLY
5. Consent to Euroclear Bank, Clearstr consent to, and are deemed to have author disclose your identity and holdings of Lebreconciling claims and distributions.	rized, Euroclear Bank, Clearstream Be Iman Programs Securities to the Debt	ank or other depository to ors for the purpose of	FILED / RECEIVED OCT 2 3 2009
of the creditor or other	n filing this claim must sign it. Sign as person authorized to file this claim as	ad state address and telephone	
2009, Oct. 23 any Baker & McI		herd	EPIQ BANKRUPTCY SOLUTIONS, LLC
Penalty for presenting frauduler	n claim: Fine of up to \$500,000 or in	nprisonment for up to 5 years, o	r both. 18 U.S.C. 99 152 and 3571

Attachment to Proof of Claim ISIN XS0274443422

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. 08-13555-mg Doc 52612-1 Filed 04/27/16 Entered 04/27/16 18:48:52 Attachment A Pg 41 of 198

Attachment to Proof of Claim ISIN XS0274443422

Blocking Reference Number	
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RECEIVED BY:

W23-09 DATE

11:15am TIME

Lehman Brothers			P	CURITIES PROGRAMS ROOF OF CLAIM USBC - Southern District of New York
In Re: Lehman Brothers Debtors.	Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)		hman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044722
Note: This fo	n Lehman Program	I to file claims other than as Securities as listed on as of July 17, 2009	THIS SPA	CE IS FOR COURT USE ONLY
Name and addres from Creditor)	s of Creditor: (and name	and address where notices should be	be sent if different	☐ Check this box to indicate that this claim amends a previously filed claim.
Inversiones Nhet Att: José Luis Na C/ San Francisco 28003 Madrid, S	avarro Iglesias de Sales 31			Court Claim Number: (If known) Filed on:
Telephone numb	er: +34 91 533 48 00	Email Address:		
Name and addres	s where payment should	be sent (if different from above)		☐ Check this box if you are aware that anyone else has filed a proof of claim
Banco Banif, S.A	: ES10008651469900100 x. set 1, 28006 Madrid, Spa		·	relating to your claim. Attach copy of statement giving particulars.
Telephone numb	er: +34 91 520 86 50	Email Address:		
Lehman Program them thereafter, stated in United S one Lehman Program	ns Securities as of Septen and whether such claim r States dollars, using the e grams Security, you may	nber 15, 2008, whether you owned natured or became fixed or liquida xchange rate as applicable on Sept	the Lehman Programs S ated before or after Septe ember 15, 2008. If you a mounts for each Lehman	nt must be the amount owed under your securities on September 15, 2008 or acquired mber 15, 2008. The claim amount must be re filing this claim with respect to more than Programs Security to which this claim relates.
	•			singlement due on the Lehman Dramme
Securities.			-	cipal amount due on the Lehman Programs
filing this claim				urity to which this claim relates. If you are le with the ISINs for the Lehman Programs
International Se	curities Identification N	umber (ISIN): See attached Add	endum to Proof of Clai	m
number, as appro Blocking Number with respect to m	priate (each, a "Blocking or from your accounthold	g Number") for each Lehman Proger (i.e. the bank, broker or other en	rams Security for which tity that holds such secur	, or other depository blocking reference you are filing a claim. You must acquire a ities on your behalf). If you are filing this claim ing Numbers for each Lehman Programs
Clearstream Ba reference numb	,	uroclear Bank Electronic Instru	ction Reference Numbe	r and or other depository blocking
See attached Ad	Idendum to Proof of Cla	aim.		
4. Provide the which you are fil	Clearstream Bank, Euroc ling this claim. You must ntholder (i.e. the bank, br	lear Bank or other depository partial acquire the relevant Clearstream	Bank, Euroclear Bank or	elated to your Lehman Programs Securities for other depository participant account number (). Beneficial holders should not provide their
Accountholders	Euroclear Bank, Clears	stream Bank or Other Depositor	y Participant Account N	Number:
See attached Ad	ldendum to Proof of Cla	aim.		
claim, you conse	nt to, and are deemed to l	tream Bank or Other Depository nave authorized, Euroclear Bank, C and holdings of Lehman Programs tims and distributions	Clearstream Bank or	OCT 2 3 2009
Date. October 15,	Signature:	s Attorney See attached Adden	dum to Proof of	EPIQ BANKRUPTCY SOLUTIONS, LLC
2009.	Claim for additional i		aum to 1 1001 01	

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009.

INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

ADDENDUM TO PROOF OF CLAIM

This proof of claim is filed in the chapter 11 case of Lehman Brothers Holdings Inc., (the <u>Debtor</u>"), Case No. 08-13555 (JMP), by Inversiones Nhet, S.L. ("<u>Claimant</u>").

Claimant's address is set forth on the first page of this proof of claim. Any notice relating to this proof of claim should be sent to such address with additional copies to be sent to the following addresses:

DLA Piper Spain S.L. Paseo de la Castellana, 35-2 28046 Madrid, Spain Attention: María Segimón, Esq.

With an additional copy to:

DLA Piper LLP (US) 1251 Avenue of the Americas New York, New York 10020 Attention: William M. Goldman, Esq.

This proof of claim is filed in connection with a Lehman Program Security as that term is defined in that certain July 2, 2009 order establishing the deadline for filing proofs of claim (the "Bar Date Order"). As such, and pursuant to the express provisions of the Bar Date Order, no documentation supporting this proof of claim is attached.

Claimant is presently unable to calculate the amount due in connection with the Lehman Program Securities covered by this proof of claim and, therefore, this proof of claim is filed in an unliquidated amount for all ISINs listed below.

The following chart shows each Lehman Programs Security to which this claim relates, the depository blocking reference number, and the depository participant account number.

	ISIN	Blocking Reference #	Depository participant account #
XS02	258901759	6036177	EUROCLEAR # 22829
XS02	286018758	6036178	EUROCLEAR # 22829

A copy of the power of attorney authorizing the execution of this proof of claim by María Segimón is attached hereto. Ms. Segimón's address is set forth above and her telephone number is +34 913191212.

Reservation of Rights

No judgment has been entered on any portion of the proof of claim.

The amounts of all payments on the claim have been credited and deducted for the purpose of making this proof of claim.

Claimant hereby reserves the right to assert further, additional and amended claims.

By executing and filing this proof of claim, Claimant, is not (i) waiving or releasing Claimant's rights against any other entity or person or (ii) electing a remedy which waives or otherwise affects any other remedy of Claimant.

Claimant, in executing and filing this proof of claim, does not waive any right to any security, collateral or share thereof to which it may be entitled or any right to claim specific assets or any other right or rights that it has or may have against the Debtor or any other person or persons, and expressly reserves the right to amend or supplement this proof of claim in any respect and to file additional proofs of claim for additional claims.

POWER OF ATTORNEY

The undersigned,

Mr./Mrs. JOSE LUIS NAVARRO IGLESIAS, of legal age, of spanish nationality, and holder of DNI passport of his nationality number 00549435B, in force.

domiciled at CL SAN FRANCISCO DE SALES 31 28003 MADRID, acting on behalf of INVERSIONES NHET S.L. (the Grantor).

Whereas, the Grantor grants a special power of attorney, but as broad as required by law, in favour of the following persons:

- (i) Mr. Juan Picón García de Leániz, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 50708807-V, in force;
- (ii) Ms. María Segimón de Manzanos, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 826346-W, in force;
- (iii) Mr. Javier Huerta Rebollo, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 11849665-L, in force,

in order that any of each of the aforementioned persons, jointly and severally, may, represent or designate another person to represent the Grantor in respect of Chapter 11 bankruptcy filed in the United States by Lehman Brothers Holdings Inc ("LBHI") and its affiliates as well as in any insolvency or bankruptcy proceeding of LBHI's non-U.S. Subsidiaries (the "Bankruptcy"), and in particular, and without limitation, to carry out the following acts in relation to the Bankruptcy:

El/Los abajo firmante/s,

D./D*. JOSE LUIS NAVARRO IGLESIAS, mayor de edad, de nacionalidad española, y con DNI pasaporte de su nacionalidad número 00549435B, en vigor,

con domicilio en CL SAN FRANCISCO DE SALES 31 28003 MADRID, actuando en nombre de INVERSIONES NHET S.L. (el Poderdante).

El Poderdante otorga un poder especial, pero tan amplio como en Derecho sea necesario a favor de las siguientes personas:

- (i) D. Juan Picón García de Leániz, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2ª planta, y provisto de D.N.I. nº 50708807-V, en vigor;
- (ii) D^a. María Segimón de Manzanos, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2^a planta, y provisto de D.N.I. nº 826346-W, en vigor;
- (iii) D. Javier Huerta Rebollo, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2ª planta, y provisto de D.N.I. nº 11849665-L, en vigor,

para que cada uno de ellos, indistintamente y solidariamente, pueda representar o designar a otra persona para representar al Poderdante en el procedimiento de quiebra bajo el Capítulo 11 en los Estados Unidos de América solicitado por Lehman Brothers Holdings Inc. ("LBHI") y determinadas sociedades filiales, así como en cualesquiera otros procedimientos de insolvencia o quiebra de las filiales de LBHI no domiciliadas en los Estados Unidos de América (la "Quiebra") y, en concreto, y sin que tenga carácter limitativo, para que pueda llevar a cabo las siguientes actuaciones en relación con la Quiebra:



- (a) To appear before or designate another person to appear before any Courts, Hearings or other Tribunals, ordinary or special, of any level or jurisdiction, and before any authority, or other public bodies, and other entities created and to be created in any of its branches, dependencies and services; and to commence, continue or terminate, as creditor, author, third party, contributor, claimant or in any other capacity, all type of actions, files, trials and proceedings in relation to the Bankruptcy.
 - In all these cases, to file, reply to and continue through all the necessary steps and stages, until completion, all type of actions, claims, complaints, criminal complaints, allegations, exceptions and defences and to make any other whatsoever, request suspension of trials or proceedings, giving in these, where necessary, personal ratification; to draft and file written documents and take part in all types of proceedings; to request and receive notifications, summons and subpoenas in relation to the Bankruptcy.
- (b) To appear before or to designate another person to appear before all and any persons, firms, corporations, banks, institutions, commercial brokers, notaries, registrars, courts and tribunals, government agencies, authorities, being foreign, international, national, regional, provincial or municipal, with full powers of representation, being authorized to file petitions, requests and forms in relation to the Bankruptcy.
- (c) Execute any further public or private document of amendment, modification or ratification, including the signing of

(a) Comparecer o designar a otra persona para comparecer ante cualesquiera Juzgados, Audiencias y demás Tribunales, ordinario o especiales de cualquier grado o jurisdicción, ante cualquier otra autoridad y demás entes públicos, y demás Entidades creadas y por crear, en cualquiera de sus ramas, dependencias y servicios; y en ellos, instar, seguir y terminar, como acreedor, actor, tercero, coadyuvante, requirente o en cualquier otro concepto, toda clase de actuaciones, expedientes, juicios y procedimientos en relación con la Quiebra.

En todos estos casos, entablar, contestar y seguir por todos sus trámites e instancias, hasta su conclusión, toda clase de actuaciones, acciones. demandas, denuncias, querellas, acusaciones, excepciones y defensas y ejercitar otras cualesquiera pretensiones, suspensiones de juicios o procedimientos, ejercitándose en los mismos en cuantos casos fuera menester la ratificación personal; formar y presentar escritos y asistir a toda clase de actuaciones; solicitar y recibir notificaciones, citaciones y emplazamientos en relación con la Quiebra.

- (b) Comparecer o designar a otra persona para comparecer ante todas y cualesquiera personas, sociedades, corporaciones, bancos, instituciones, corredores de comercio, notarios, registradores, juzgados y tribunales, delegaciones de hacienda, delegaciones de gobierno y autoridades, ya sean extranjeras, internacionales, nacionales, autonómicas, provinciales o municipales, con poderes totales de representación, estando autorizadas para presentar instancias, formularios y requerimientos en relación con la Quiebra.
- (c) Firmar cualquier documento público o privado posterior de subsanación, rectificación o ratificación de los

approvals or forms (online or in paper) required, and do any other act or action which the attorney may consider necessary or expedient for the purpose or in connection with the above, including the execution, processing and submission of any form or document which may be convenient in relation to the Bankruptcy.

anteriores, pudiendo suscribir y firmar incluso cualquier formulario o impreso necesario (online o en papel), y realizar cualquier acto o actuación que el apoderado considere necesario o conveniente para cumplimentar la finalidad descrita en este apartado, incluyendo firmar, despachar o presentar cualquier otro documento que resulte conveniente en relación con la Quiebra.

Where necessary, the Grantor shall raise into public deed the granting of Power of Attorney under this document if requested by any of the attorneys.

En caso de que fuese necesario, el Poderdante se compromete a formalizar en escritura pública el apoderamiento conferido conforme al presente documento, una vez fuera requerido para ello por cualquiera de los apoderados.

So states and grants the Grantor, who reads and approves the present document, signing below.

Así declara y otorga el Poderdante, quien lee y aprueba el presente documento, firmándolo a continuación.

MADRID, on

September 2009.

En MADRID, a de septiembre de 2009.

INVERSIONES NHET S.L. THE GRANTOR

JOSE LUIS NAVARRO IGLESIAS

INVERSIONES NHET S.L. EL OTORGANTE

(firma/s)

JOSE LUIS NAVARRO IGLESIAS

, 08-13555-mg Doc 52612-1 Filed 04/27/16 Entered 04/27/16 18:48:52 Attachment A Pg 50 of 198

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10/23 DATE

12:24 TIME

Lehman Brother				CURITIES PROGRAMS ROOF OF CLAIM
In Re:	10130-3070	Chapter 11	Filed:	USBC - Southern District of New York
	s Holdings Inc., et al.,	Case No. 08-13555 (JMP) (Jointly Administered)	Le	hman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044803
			I Jii 11	## ### ###############################
those based of	on Lehman Program	I to file claims other than as Securities as listed on		
http://www.l	<u>ehman-docket.com</u>	as of July 17, 2009	THIS SPAC	CE IS FOR COURT USE ONLY
Name and addres from Creditor)	ss of Creditor: (and name	and address where notices should	be sent if different	☐ Check this box to indicate that this claim amends a previously filed claim.
José Luis Navan	ro Iglesias			Court Claim Number:
C/ San Francisco				(If known)
28003 Madrid, S	pain			
				Filed on:
	er: +34 91 533 48 00	Email Address:		
Name and addres	ss where payment should	be sent (if different from above)		☐ Check this box if you are aware that
A coount number	: ES15008651469700100	005722	1	anyone else has filed a proof of claim
Banco Banif, S.A		103733		relating to your claim. Attach copy of
,	set 1, 28006 Madrid, Spai	'n		statement giving particulars.
, J	, , , ,			
Telephone numb	er: +34 91 520 86 50	Email Address:		
Lehman Program them thereafter, stated in United	ns Securities as of Septen and whether such claim n States dollars, using the e	aber 15, 2008, whether you owned natured or became fixed or liquida xchange rate as applicable on Sept	the Lehman Programs Se ted before or after Septen ember 15, 2008. If you are	nt must be the amount owed under your ecurities on September 15, 2008 or acquired on the second of the claim amount must be e filing this claim with respect to more than Programs Security to which this claim relates.
Amount of Clai	m: \$ Unliquidated - see	attached Addendum to Proof o	f Claim.	
□ Check this	hav if the amount of clai	m includes interest or other chara	es in addition to the principal	inglamount due on the Lehman Programs
☐ Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.				
filing this claim				rity to which this claim relates. If you are ewith the ISINs for the Lehman Programs
International Se	curities Identification N	umber (ISIN): See attached Add	endum to Proof of Clain	n
number, as appro Blocking Number with respect to m	priate (each, a "Blocking er from your accountholde	Number") for each Lehman Prog er (i.e. the bank, broker or other en	rams Security for which y	or other depository blocking reference you are filing a claim. You must acquire a cies on your behalf). If you are filing this claim ng Numbers for each Lehman Programs
Clearstream Ba reference numb		uroclear Bank Electronic Instru	ction Reference Number	and or other depository blocking
See attached Ad	ldendum to Proof of Cla	im		
which you are fil	ing this claim. You must ntholder (i.e. the bank, bro	acquire the relevant Clearstream l	Bank, Euroclear Bank or o	lated to your Lehman Programs Securities for other depository participant account number . Beneficial holders should not provide their
•		tream Rank on Other Denosites	Darticinant Assaumt No.	umbar
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See attached Ad	ldendum to Proof of Cla	im.		
claim, you conse other depository	nt to, and are deemed to h	tream Bank or Other Depository lave authorized, Euroclear Bank, C and holdings of Lehman Programs ims and distributions	learstream Bank or	FILED / RECEIVED
Date.	Signature:	J Avonus		OCT 2 3 2009
October 15, 2009.	Ms. María Segimón, a: Claim for additional in	Attorney See attached Adden	dum to Proof of	EPIQ BANKRUPTCY SOLUTIONS, LLC
	Ciaim for auditional li	nomativije j	J	

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing

c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150- 5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009.

__INFORMATION_

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

ADDENDUM TO PROOF OF CLAIM

This proof of claim is filed in the chapter 11 case of Lehman Brothers Holdings Inc., (the <u>Debtor</u>"), Case No. 08-13555 (JMP), by José Luis Navarro Iglesias ("<u>Claimant</u>").

Claimant's address is set forth on the first page of this proof of claim. Any notice relating to this proof of claim should be sent to such address with additional copies to be sent to the following addresses:

DLA Piper Spain S.L. Paseo de la Castellana, 35-2 28046 Madrid, Spain Attention: María Segimón, Esq.

With an additional copy to:

DLA Piper LLP (US) 1251 Avenue of the Americas New York, New York 10020 Attention: William M. Goldman, Esq.

This proof of claim is filed in connection with a Lehman Program Security as that term is defined in that certain July 2, 2009 order establishing the deadline for filing proofs of claim (the "Bar Date Order"). As such, and pursuant to the express provisions of the Bar Date Order, no documentation supporting this proof of claim is attached.

Claimant is presently unable to calculate the amount due in connection with the Lehman Program Securities covered by this proof of claim and, therefore, this proof of claim is filed in an unliquidated amount for all ISINs listed below.

The following chart shows each Lehman Programs Security to which this claim relates, the depository blocking reference number, and the depository participant account number.

ISIN	Blocking Reference #	Depository participant account #
XS0258901759	6036176	EUROCLEAR # 22829

A copy of the power of attorney authorizing the execution of this proof of claim by María Segimón is attached hereto. Ms. Segimón's address is set forth above and her telephone number is +34 913191212.

Reservation of Rights

No judgment has been entered on any portion of the proof of claim.

The amounts of all payments on the claim have been credited and deducted for the purpose of making this proof of claim.

Claimant hereby reserves the right to assert further, additional and amended claims.

By executing and filing this proof of claim, Claimant, is not (i) waiving or releasing Claimant's rights against any other entity or person or (ii) electing a remedy which waives or otherwise affects any other remedy of Claimant.

Claimant, in executing and filing this proof of claim, does not waive any right to any security, collateral or share thereof to which it may be entitled or any right to claim specific assets or any other right or rights that it has or may have against the Debtor or any other person or persons, and expressly reserves the right to amend or supplement this proof of claim in any respect and to file additional proofs of claim for additional claims.

POWER OF ATTORNEY

The undersigned,

Mr./Mrs. JOSE LUIS NAVARRO IGLESIAS, of legal age, of spanish nationality, and holder of DNI passport of his nationality number 00549435B, in force,

domiciled at PS SAN FRANCISCO DE SALES 31, 28003 MADRID, acting in his own name and interest (the Grantor).

Whereas, the Grantor grants a special power of attorney, but as broad as required by law, in favour of the following persons:

- (i) Mr. Juan Picón García de Leániz, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 50708807-V, in force;
- (ii) Ms. María Segimón de Manzanos, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 826346-W, in force;
- (iii) Mr. Javier Huerta Rebollo, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 11849665-L, in force,

in order that any of each of the aforementioned persons, jointly and severally, may, represent or designate another person to represent the Grantor in respect of Chapter 11 bankruptcy filed in the United States by Lehman Brothers Holdings Inc ("LBHI") and its affiliates as well as in any insolvency or bankruptcy proceeding of LBHI's non-U.S. Subsidiaries (the "Bankruptcy"), and in particular, and without limitation, to carry out the following acts in relation to the Bankruptcy:

EVLos abajo firmante/s,

D./Da. JOSE LUIS NAVARRO IGLESIAS, mayor de edad, de nacionalidad española, y con DNI pasaporte de su nacionalidad número 00549435B, en vigor,

con domicilio en PS SAN FRANCISCO DE SALES 31, 28003 MADRID, actuando en su propio nombre y derecho (el Poderdante).

El Poderdante otorga un poder especial, pero tan amplio como en Derecho sea necesario a favor de las siguientes personas:

- (i) D. Juan Picón García de Leániz, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2º planta, y provisto de D.N.I. nº 50708807-V, en vigor;
- (ii) D^a. María Segimón de Manzanos, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2^a planta, y provisto de D.N.L nº 826346-W, en vigor;
- (iii) D. Javier Huerta Rebollo, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2ª planta, y provisto de D.N.I. nº 11849665-L, en vigor,

para que cada uno de ellos, indistintamente y solidariamente, pueda representar o designar a otra persona para representar al Poderdante en el procedimiento de quiebra bajo el Capítulo 11 en los Estados Unidos de América solicitado por Lehman Brothers Holdings Inc. ("LBHI") y determinadas sociedades filiales, así como en cualesquiera otros procedimientos de insolvencia o quiebra de las filiales de LBHI no domiciliadas en los Estados Unidos de América (la "Quiebra") y, en concreto, y sin que tenga carácter limitativo, para que pueda llevar a cabo las siguientes actuaciones en relación con la Quiebra:



(a) To appear before or designate another person to appear before any Courts, Hearings or other Tribunals, ordinary or special, of any level or jurisdiction, and before any authority, or other public bodies, and other entities created and to be created in any of its branches, dependencies and services; and to commence, continue or terminate, as creditor, author, third party, contributor, claimant or in any other capacity, all type of actions, files, trials and proceedings in relation to the Bankruptcy.

In all these cases, to file, reply to and continue through all the necessary steps and stages, until completion, all type of actions, claims, complaints, criminal complaints, allegations, exceptions and defences and to make any other whatsoever, request suspension of trials or proceedings, giving in these, where necessary, personal ratification; to draft and file written documents and take part in all types of proceedings; to request and receive notifications, summons and subpoenas in relation to the Bankruptcy.

- (b) To appear before or to designate another person to appear before all and any persons, firms, corporations, banks, institutions, commercial brokers, notaries, registrars, courts and tribunals, government agencies, authorities, being foreign, international, national, regional, provincial or municipal, with full powers of representation, being authorized to file petitions, requests and forms in relation to the Bankruptcy.
- (c) Execute any further public or private document of amendment, modification or ratification, including the signing of approvals or forms (online or in paper) required, and do any other act or action which the attorney may consider necessary or

(a) Comparecer o designar a otra persona para comparecer ante cualesquiera Juzgados, Audiencias y demás Tribunales, ordinario o especiales de cualquier grado o jurisdicción, ante cualquier otra autoridad y demás entes públicos, y demás Entidades creadas y por crear, en cualquiera de sus ramas, dependencias y servicios; y en ellos, instar, seguir y terminar, como acreedor, actor, tercero, coadyuvante, requirente o en cualquier otro concepto, toda clase de actuaciones, expedientes, juicios y procedimientos en relación con la Quiebra.

En todos estos casos, entablar, contestar y seguir por todos sus trámites e instancias, hasta su conclusión, toda clase de actuaciones. acciones. demandas, denuncias, querellas. acusaciones, excepciones y defensas y ejercitar otras cualesquiera pretensiones, suspensiones de juicios o procedimientos, ejercitándose en los mismos en cuantos casos fuera menester la ratificación personal; formar y presentar escritos y asistir a toda clase de actuaciones; solicitar y recibir notificaciones, citaciones y emplazamientos en relación con la Quiebra.

- (b) Comparecer o designar a otra persona para comparecer ante todas y cualesquiera personas, sociedades, corporaciones, bancos, instituciones, corredores de comercio, notarios, registradores, juzgados y tribunales, delegaciones de hacienda, delegaciones de gobierno y autoridades. ya sean extranjeras, internacionales, nacionales, autonómicas, provinciales o municipales, con poderes totales de representación, estando autorizadas para presentar instancias, formularios y requerimientos en relación con la Quiebra.
- (c) Firmar cualquier documento público o privado posterior de subsanación, rectificación o ratificación de los anteriores, pudiendo suscribir y firmar incluso cualquier formulario o impreso necesario (online o en papel), y realizar

expedient for the purpose or in connection with the above, including the execution, processing and submission of any form or document which may be convenient in relation to the Bankruptcy.

conveniente para cumplimentar la finalidad descrita en este apartado, incluyendo firmar, despachar o presentar cualquier otro documento que resulte conveniente en relación con la Quiebra.

apoderado

cualquier acto o actuación que el

necesario

considere

Where necessary, the Grantor shall raise into public deed the granting of Power of Attorney under this document if requested by any of the attorneys.

En caso de que fuese necesario, el Poderdante se compromete a formalizar en escritura pública el apoderamiento conferido conforme al presente documento, una vez fuera requerido para ello por cualquiera de los apoderados.

So states and grants the Grantor, who reads and approves the present document, signing below.

Así declara y otorga el Poderdante, quien lee y aprueba el presente documento, firmándolo a continuación.

MADRID, on September 2009.

En MADRID, a de septiembre de 2009.

J. Kavano.

(firma)

(signature)

Mr./Mirs. JOSE LUIS NAVARRO IGLESIAS

THE GRANTOR

D./D" JOSE LUIS NAVARRO IGLESIAS EL OTORGANTE

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RECEIVED BY:

United States Bankruptcy Court/Southern	District of New York		
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC			CURITIES PROGRAMS OF OF CLAIM
FDR Station, P.O. Box 5076 New York, NY 10150-5076		1110	OI OLAIII
In Re:	Chapter 11	FI 1 1000	O at our District of New York
Lehman Brothers Holdings Inc., et al., Debtors.	Case No. 08-13555 (JMP) (Jointly Administered)	Lehman E	- Southern District of New York Frothers Holdings Inc., Et Al. 08-13555 (JMP) 0000045214
Note: This form may not be used to fil on Lehman Programs Securities as list docket.com as of July 17, 2009			
Name and address of Creditor: (and name a Creditor)	and address where notices should be	sent if different from	Li Check this box to indicate that this claim amends a previously filed claim.
Theodoor Gilissen Global Custody N.V. Keizersgracht 617			Court Claim Number:
1017 DS AMSTERDAM, The Netherlan	nds		Filed on:
Telephone number: +31 20 5276000	Email Address: tgservices@giliss	en.nl	
Name and address where payment should l			Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number:	Email Address:		
and whether such claim matured or became	008, whether you owned the Lehman e fixed or liquidated before or after S ble on September 15, 2008. If you ar	Programs Securities on Septer eptember 15, 2008. The claim re filing this claim with respect	mber 15, 2008 or acquired them thereafter, amount must be stated in United States to more than one Lehman Programs Security,
Amount of Claim: See attached	(Required)		
* Check this box if the amount of claim in	cludes interest or other charges in ac	Idition to the principal amount	due on the Lehman Programs Securities.
2. Provide the International Securities Idea claim with respect to more than one Lehmathis claim relates.	ntification Number (ISIN) for each L an Programs Security, you may attac	ehman Programs Security to w h a schedule with the ISINs for	which this claim relates. If you are filing this the Lehman Programs Securities to which
International Securities Identification N	umber (ISIN): See attached	(Require	d)
3. Provide the Clearstream Bank Blocking appropriate (each, a "Blocking Number") if your accountholder (i.e. the bank, broker of Lehman Programs Security, you may attact Clearstream Bank Blocking Number, Edumber:	or each Lehman Programs Security for the control of	for which you are filing a claim es on your behalf). If you are f bers for each Lehman Program	a. You must acquire a Blocking Number from iling this claim with respect to more than one s Security to which this claim relates.
	n		
4. Provide the Clearstream Bank, Euroclea you are filing this claim. You must acquire accountholder (i.e. the bank, broker or othe numbers. Accountholders Euroclear Bank, Clears	the relevant Clearstream Bank, Europer entity that holds such securities on tream Bank or Other Depository I	oclear Bank or other depository your behalf). Beneficial holde	participant account number from your rs should not provide their personal account
	equired)		
October 21 st , 2009 the creditor or other person number of different from the Mister of Ar. G.C.D. Verst	ized, Euroclear Bank, Clearstream Bank Programs Securities to the Debt gethis claim must sign it. Sign and part authorized to file this claim and state notice address above. Attack control of the Mister of the Control of the Contro	rint name and title, if any, of e address and telephone of power of attorney, if any.	FILED / RECEIVED OCT 2 3 2009 EPIO BANKRUPTET SULSTINAS LLC
Penalty for presenting fraudule.	nt claim: Fine of up to \$500,000 or in	nprisonment for up to 5 years,	or both. 18 U.S.C. §§ 152 and 3571

SOUTHERN DISTRICT OF NEW YORK	
In re:	Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
Debtors.	(Jointly Administered)

ANNEX TO PROOF OF CLAIM OF THEODOOR GILISSEN GLOBAL CUSTODY N.V.

- 1. <u>Claimant</u>. Theodoor Gilissen Global Custody N.V., custodian and holder of account 51/267148/29 with KBL European Private Bankers S.A., Luxemburg, holder of account 13048 with Clearstream Luxemburg (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- 2. <u>Transactions Between the Parties.</u> The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the Clearstream blocking reference number and the Clearstream account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 attached hereto. The Program Securities

have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 3, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 2. <u>Claim.</u> The Claimant is the holder of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 114,469,619.48 <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on <u>Exhibit 2</u> attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the

jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

EXHIBIT 1

ISIN	Clearstream blocking	Clearstream	Nominal Amount	Nominal Amount
	reference number	account number	(EUR)	(USD)*
		Account in the name of		
		KBL European Private		
		Bankers S.A., Luxemburg.		
		Securities held on behalf of		:
		custodian Theodoor Gilissen		;
		Global Custody N.V.,		
		Amsterdam, Holland		
XS0346707903	CA69354	13048	641,000.00	910,284.10
XS0295760093	CA69339	13048	1,587,000.00	2,253,698.70
XS0355133454	CA69358	13048	3,162,000.00	4,490,356.20
XS0379217184	CA69336	13048	20,000.00	28,402.00
XS0273084656	CA69337	13048	554,000.00	786,735.40
XS0332049815	CA69340	13048	1,715,000.00	2,435,471.50
XS0285922133	CA69353	13048	3,836,000.00	5,447,503.60
XS0375356648	CA69345	13048	255,000.00	362,125.50
XS0305948860	CA69344	13048	4,825,000.00	6,851,982.50
XS0314067140	CA76209	13048	1,499,000.00	2,128,729.90
XS0317240157	CA69352	13048	2,325,000.00	3,301,732.50
XS0339810078	CA69343	13048	1,177,000.00	1,671,457.70
XS0287672694	CA69355	13048	5,467,000.00	7,763,686.70
XS0296281735	CA69359	13048	8,970,000.00	12,738,297.00
XS0332050078	CA69338	13048	1,357,000.00	1,927,075.70
XS0377288450	CA69360	13048	14,000.00	19,881.40
XS0368669007	CA69357	13048	3,543,000.00	5,031,414.30
XS0263715467	CA69346	13048	10,430,000.00	14,811,643.00
XS0276441044	CA69347	13048	11,284,000.00	16,024,408.40
XS0327236757	CA69350	13048	5,483,000.00	7,786,408.30
XS0296067142	CA69356	13048	996,000.00	1,414,419.60
XS0365839835	CA69349	13048	1,030,000.00	1,462,703.00
XS0332049229	CA69342	13048	1,597,000.00	2,267,899.70
XS0373219582	CA69348	13048	755,000.00	1,072,175.50
XS0349530823	CA69341	13048	200,000.00	284,020.00
XS0346080590	CA69351	13048	6,196,000.00	8,798,939.60

TOTALS 78,918,000.00 112,071,451.80

^{*} Amounts due in EURO have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EURO = 1.4201 USD.

EXHIBIT 2

ISIN	Nominal Amount	Accrued	Total	Nominal Amount	Accrued	Total
	(EUR)	Interest	(EUR)	(USD)*	Interest	(USD)*
		(EUR)			(USD)*	
XS0346707903	641,000.00	-	641,000.00	910,284.10	-	910,284.10
XS0295760093	1,587,000.00	-	1,587,000.00	2,253,698.70	-	2,253,698.70
XS0355133454	3,162,000.00	-	3,162,000.00	4,490,356.20	-	4,490,356.20
XS0379217184	20,000.00	-	20,000.00	28,402.00	-	28,402.00
XS0273084656	554,000.00	-	554,000.00	786,735.40	-]	786,735.40
XS0332049815	1,715,000.00	-	1,715,000.00	2,435,471.50	-	2,435,471.50
XS0285922133	3,836,000.00	-	3,836,000.00	5,447,503.60	-	5,447,503.60
XS0375356648	255,000.00	-	255,000.00	362,125.50	-	362,125.50
XS0305948860	4,825,000.00	-	4,825,000.00	6,851,982.50	-	6,851,982.50
XS0314067140	1,499,000.00	-	1,499,000.00	2,128,729.90	-	2,128,729.90
XS0317240157	2,325,000.00	-	2,325,000.00	3,301,732.50	-	3,301,732.50
XS0339810078	1,177,000.00	-	1,177,000.00	1,671,457.70	-	1,671,457.70
XS0287672694	5,467,000.00	-	5,467,000.00	7,763,686.70	-	7,763,686.70
XS0296281735	8,970,000.00	-	8,970,000.00	12,738,297.00	-	12,738,297.00
XS0332050078	1,357,000.00	-	1,357,000.00	1,927,075.70	-	1,927,075.70
XS0377288450	14,000.00	-	14,000.00	19,881.40	-	19,881.40
XS0368669007	3,543,000.00	202,457.14	3,745,457.14	5,031,414.30	287,509.39	5,318,923.69
XS0263715467	10,430,000.00	763,533.15	11,193,533.15	14,811,643.00	1,084,293.43	15,895,936.43
XS0276441044	11,284,000.00	519,373.15	11,803,373.15	16,024,408.40	737,561.81	16,761,970.21
XS0327236757	5,483,000.00	-	5,483,000.00	7,786,408.30	-	7,786,408.30
XS0296067142	996,000.00	-	996,000.00	1,414,419.60	-	1,414,419.60
XS0365839835	1,030,000.00	-	1,030,000.00	1,462,703.00	-	1,462,703.00
XS0332049229	1,597,000.00	33,843.27	1,630,843.27	2,267,899.70	48,060.83	2,315,960.53
XS0373219582	755,000.00	15,999.79	770,999.79	1,072,175.50	22,721.31	1,094,896.81
XS0349530823	200,000.00	3,463.01	203,463.01	284,020.00	4,917.83	288,937.83
XS0346080590	6,196,000.00	150,062.03	6,346,062.03	8,798,939.60	213,103.09	9,012,042.69

TOTALS 78,918,000.00 1,688,731.55 80,606,731.55 112,071,451.80 2,398,167.68 114,469,619.48

^{*} Amounts due in EURO have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EURO = 1.4201 USD.

06/08/2005

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EXHIBIT 3

UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT,

06-09-05 11:31 JDM INVESTMENTS

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08.89.2005 25:11 <u>I-414. - 912021200</u>94 **26/86/2005** 16:41 <u>I-414</u>N + 3164675<u>22</u>633 NO.290 004 NO.504 002

RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedulc A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date bereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an arrowcy of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Anthorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 9, 2005

Richard S Puld Jr.

John D. Macomber

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Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
1.	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3.	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
1 8.	Lehman Re Limited	No

EXHIBIT 4



TO WHOM IT MAY CONCERN:

Kefzersgracht 617 1017 DS Amsterdinn Postbus 3325 1003 AC Amsterdam 1+31 (0)20 527 60 00 r +31 (0) 20 527 60 60 info@gilissen.nl www.gilissen.nl

DECLARATION

Theodoor Gilissen Global Custody N.V. ('TGGC') is custodian and accountholder (accountnumber 51/267148/29) with KBL European Private Bankers S.A., Luxemburg ('KBL'). KBL is holder of account 13048 with Clearstream Luxemburg. At present, all securities under the Lehman Brothers Securities Program held by TGGC, for which a blocking number is applied for, are registered on their account (51/267148/29) with KBL. These securitles are being held in the name of KBL with Clearstream on account 13048.

AMSTERDAM/LUXEMBOURG, 15th of October 2009,

Theodoor Gilissen Global Custody N.V.,

drs. ir. G.C.D. Versteeg

drs. C.A. Heijne RA CPC

Harven Hijn

director Theodoor Gilissen Global Custody N.V. director Theodoor Gilissen Global Custody N.V.

KBL European Private Bankers S.A.,

Járomá Gendarme

Head of Custody

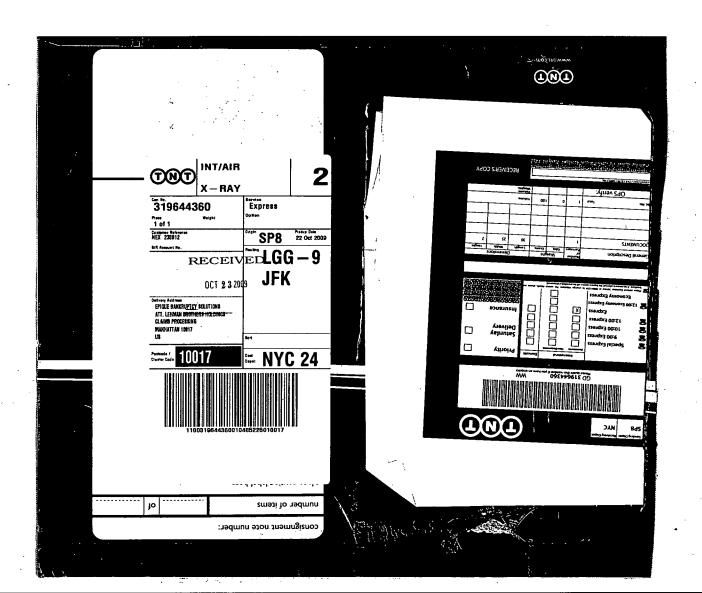
Philippe VAN DOOREN HEAD OF OPERATIONS

EUROPEAN PRIVATE BANKERS

THEODOGR GILLSSEN BANKIERS H.Y.

Statulate gevestigd to Amsterdara Amsterdam Handelsteglaler pr. 13004157

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08-13555-mg Doc 52612-1 Filed 04/27/16 Entered 04/27/16 18:48:52 Attachment Pa 70 of 198 United States Bankruptcy Court/Southern District of New York **LEHMAN SECURITIES PROGRAMS** Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC **PROOF OF CLAIM** FDR Station, P.O. Box 5076 New York, NY 10150-5076 In Re: Chapter 11 Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP) 08-13555 (JMP) 0000048734 Debtors. (Jointly Administered) Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-LY docket.com as of July 17, 2009 Name and address of Creditor: (and name and address where notices should be sent if different from ☐ Check this box to indicate that this Creditor) claim amends a previously filed claim. KAS BANK N.V. Court Claim Number: Spuistraat 172 (*If known*) 1012 VT AMSTERDAM, The Netherlands Filed on: Telephone number: +31 20 5575911 Email Address: KASBANK@lehmanclaim.nl Name and address where payment should be sent (if different from above) ☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars. Telephone number: Email Address: 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: See attached _ (Required) Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. International Securities Identification Number (ISIN): See attached (Required) 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: See attached (Required) Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: See attached (Required)

4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of

reconciling claims and distributions. Date.

October

26st, 2009

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state autress and telephone number if different from the notice address above. Attach control of actorney, if any.

Name: Mr N.E. Blom

Mr R.J. Kodingan

FILED / RECEIVED OCT 27 2009

FOR COUR

EPIQ BANKRUPTCY SOLUTIONS, LLC

Penalty for presenting fraudule lightarm: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

SOUTHERN DISTRICT OF NEW YORK	
In re:	X Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
Debtors.	(Jointly Administered)

ANNEX TO PROOF OF CLAIM OF KAS BANK N.V.

- 1. <u>Claimant</u>. KAS BANK N.V. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- 2. <u>Transactions Between the Parties.</u> The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the Euroclear blocking reference number and the Euroclear account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 attached hereto. Evidence of the ownership of the Securities have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of

the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 3, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim</u>. The Claimant is the holder of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$82,684,969.72 <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts compromising the Claim is set forth on <u>Exhibit 2</u> attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect

to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

EXHIBIT 1

ISIN	Euroclear blocking reference number	Euroclear account number Account in the name of KAS BANK Effectenbewaarbedrijf N.V., Amsterdam, The Netherlands (also trading under the name of KAS Depository Trust Company)	Nominal Amount (EUR)	(USD)*
XS0263715467	6035720	90023	3,924,000.00	5,572,472.40
XS0273084656	6033589	90023	1,133,000.00	1,608,973.30
XS0276441044	6033755	90023	13,463,000.00	19,118,806.30
XS0285922133	6034019	90023	759,000.00	1,077,855.90
XS0287672694	6034022	90023	6,070,000.00	8,620,007.00
XS0295760093	6034023	90023	811,000.00	1,151,701.10
XS0296067142	6034025	90023	2,096,000.00	2,976,529.60
XS0296281735	6034028	90023	4,431,000.00	6,292,463.10
XS0305948860	6034029	90023	8,100,000.00	11,502,810.00
XS0314067140	6034030	90023	1,808,000.00	2,567,540.80
XS0317240157	6034032	90023	4,026,000.00	5,717,322.60
XS0327236757	6034033	90023	2,053,000.00	2,915,465.30
XS0332049229	6034042	90023	2,326,000.00	3,303,152.60
XS0332049815	6034043	90023	2,180,000.00	3,095,818.00
XS0332050078	6034044	90023	58,000.00	82,365.80
XS0339810078	6034047	90023	321,000.00	455,852.10
XS0346080590	6034048	90023	25,000.00	35,502.50
XS0346707903	6034061	90023	476,000.00	675,967.60
XS0349530823	6034062	90023	798,000.00	1,133,239.80
XS0368669007	6034063	90023	2,255,000.00	3,202,325.50
XS0373219582	6034065	90023	12,000.00	17,041.20

Total 57,125,000.00 81,123,212.50

^{*} Amounts due in EUR have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EUR = 1.4201 USD.

EXHIBIT 2

ISIN	Nominal Amount	Accrued	Total	Nominal Amount	Accrued	Total
	(EUR)	Interest	(EUR)	(USD)*	Interest	(USD)*
		(EUR)			(USD)*	
XS0263715467	3,924,000.00	287,258.30	4,211,258.30	5,572,472.40	407,935.51	5,980,407.91
XS0273084656	1,133,000.00	-	1,133,000.00	1,608,973.30	<u>-</u>	1,608,973.30
XS0276441044	13,463,000.00	619,666.85	14,082,666.85	19,118,806.30	879,988.89	19,998,795.19
XS0285922133	759,000.00	-	759,000.00	1,077,855.90	-	1,077,855.90
XS0287672694	6,070,000.00	-	6,070,000.00	8,620,007.00	-	8,620,007.00
XS0295760093	811,000.00	-	811,000.00	1,151,701.10	<u>-</u>	1,151,701.10
XS0296067142	2,096,000.00	-	2,096,000.00	2,976,529.60	-	2,976,529.60
XS0296281735	4,431,000.00	-	4,431,000.00	6,292,463.10	_	6,292,463.10
XS0305948860	8,100,000.00	-	8,100,000.00	11,502,810.00	-	11,502,810.00
XS0314067140	1,808,000.00	-	1,808,000.00	2,567,540.80	-	2,567,540.80
XS0317240157	4,026,000.00	-	4,026,000.00	5,717,322.60	-	5,717,322.60
XS0327236757	2,053,000.00	-	2,053,000.00	2,915,465.30	_	2,915,465.30
XS0332049229	2,326,000.00	49,292.08	2,375,292.08	3,303,152.60	69,999.69	3,373,152.29
XS0332049815	2,180,000.00	-	2,180,000.00	3,095,818.00	<u>-</u>	3,095,818.00
XS0332050078	58,000.00	-	58,000.00	82,365.80	-	82,365.80
XS0339810078	321,000.00	-	321,000.00	455,852.10	-	455,852.10
XS0346080590	25,000.00	605.48	25,605.48	35,502.50	859.84	36,362.34
XS0346707903	476,000.00	-	476,000.00	675,967.60	-	675,967.60
XS0349530823	798,000.00	13,817.42	811,817.42	1,133,239.80	19,622.12	1,152,861.92
XS0368669007	2,255,000.00	128,857.14	2,383,857.14	3,202,325.50	182,990.03	3,385,315.53
XS0373219582	12,000.00	254.30	12,254.30	17,041.20	361.13	17,402.33

Total

57,125,000.00

1,099,751.58

58,224,751.58

81,123,212.50

1,561,757.22

82,684,969.72

^{*} Amounts due in EUR have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EUR = 1.4201 USD.

D01

EXHIBIT 3

UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT.

96-09-05 11:31 JDM INVESTMENTS

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RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date bereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being suthorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an anorticy of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 9, 2005

Richard S Puld. Jr.

John D. Macomber

16:41

NO.504

D03

Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
1.	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3.	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
18.	Lehman Re Limited	No

EXHIBIT 4

To whom it may concern:

DECLARATION

KAS BANK Effectenbewaarbedrijf N.V. (also trading under the name KAS Depository Trust Company and hereinafter referred to as KDTC) is custodian and holder of account 90023 with Euroclear. KAS BANK N.V. (the Claimant) owns 100% of the shares in KDTC.

The Claimant is authorised to act on behalf of KDTC pursuant to the Rules Concerning Custody of Securities held by KDTC (the KDTC Rules).

The Claimant uses the services of KDTC for the custody of certain types of securities, in fulfilment of the obligation imposed on the Claimant by Netherlands law and regulations to protect the proprietary interests of its clients in respect of securities placed in custody with it. Since the Anglo-American legal concept of ownership of property by way of trust (or any other similar equitable interest) is not known under Netherlands law, the general position is that securities held by the Claimant for its clients but in its name will form a part of the assets of the Claimant and will therefore fall into its bankruptcy estate unless specific measures to protect the proprietary interests of its clients are taken. This is why such securities are held by KDTC. KDTC's sole object is the custody of securities on behalf of the Claimant's clients and it is prohibited by its Articles of Association from incurring any commercial risk in the conduct of its business. Custody of securities that are held by KDTC for the client's protection is subject to the KDTC Rules. According to Clause 2 of the KDTC Rules the Claimant shall act with respect to the securities held by KDTC on behalf of the clients in accordance with the instructions given by those clients. Under the KDTC Rules, the client has a direct claim on KDTC in respect of the securities held by KDTC on the client's behalf. Since this direct claim would not be affected by bankruptcy of the Claimant under Dutch bankruptcy law, the client's position with regard to securities held by KDTC is safeguarded.

Amsterdam, 26 October 2009

Name: drs. N.E. Blom

KAS BANK N.V.

le: R.J. Kooijman RA

AS BANK N.V.

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				Sending Depot Receiving Depot	000062508	1. Sender's Account Number	

United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM
In Re: Lehman Brothers Holdings Inc., et al., Debtors. Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000048817
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009	
Name and address of Creditor: (and name and address where notices should be Creditor) ZNU NV VLuchten burgstraggt B - 2630 ARRTSELAGR	sent if different from Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: (If known) Filed on:
Telephone number: 003238704400Email Address: mac. Stoams	
Name and address where payment should be sent (if different from above)	Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number: Email Address:	
1. Provide the total amount of your claim based on Lehman Programs Securiti Programs Securities as of September 15, 2008, whether you owned the Lehman and whether such claim matured or became fixed or liquidated before or after S dollars, using the exchange rate as applicable on September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs S Amount of Claim: \$ 1.424.400 oo (Required) Check this box if the amount of claim includes interest or other charges in	Programs Securities on September 15, 2008 or acquired them thereafter, eptember 15, 2008. The claim amount must be stated in United States e filing this claim with respect to more than one Lehman Programs Security, Security to which this claim relates. addition to the principal amount due on the Lehman Programs Securities.
2. Provide the International Securities Identification Number (ISIN) for each this claim with respect to more than one Lehman Programs Security, you may a which this claim relates. International Securities Identification Number (ISIN): X503485	attach a schedule with the ISINs for the Lehman Programs Securities to
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electroni appropriate (each, a "Blocking Number") for each Lehman Programs Security from your accountholder (i.e. the bank, broker or other entity that holds such se than one Lehman Programs Security, you may attach a schedule with the Block relates.	for which you are filing a claim. You must acquire a Blocking Number curities on your behalf). If you are filing this claim with respect to more
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instructionumber:	on Reference Number and or other depository blocking reference
CA 65733 (Require	ed)
4. Provide the Clearstream Bank, Euroclear Bank or other depository participan you are filing this claim. You must acquire the relevant Clearstream Bank, Eur accountholder (i.e. the bank, broker or other entity that holds such securities on numbers.	oclear Bank or other depository participant account number from your
Accountholders Euroclear Bank, Clearstream Bank or Other Depository P	articipant Account Number:
16624 (Required	·
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Ba disclose your identity and holdings of Lehman Programs Securities to the Debte reconciling claims and distributions.	ank or other depository to ors for the purpose of
Date. Signature: The person filing this claim must sign it. Sign are of the creditor or other person authorized to file this claim are number if different from the notice address above. Attach co any.	nd state address and telephone py of power of attorney, if EPIQ BANKRUPTCY SOLUTIONS, LLC
Penalty for presenting fraudulent claim. Fine of up to \$500,000 or in	nprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

KBC Bank Head Office



Clientsupport / Custody

VBN - Havenlaan 2 - 1080 Brussels

AFFIDAVIT OF OWNERSHIP **LEHMAN BROTHERS**

September 11th 2009

KBC BANK NV, Havenlaan 2, 1080 BRUSSELS, declares that our client

ZNU **VLUCHTENBURGSTRAAT 7** B 2630 AARTSELAAR

had a position of 1,000,000 EUR with isin-code XS0348560524

on his accountnumber 727-9197736-61 on 11 September 2009.

Bart Bal **VBN / Clientsupport** Paul Hendrix Head of VBN / Clientsupport

Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076 USA



Zuidnederlandse Uitgeverij N.V. Vluchtenburgstraat 7, B-2630 Aartselaar (Belgium)

2630 22.10.09-10

AARTOELAAR

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08-13555-mg Doc 52612-1 Filed 04/27/16 Entered 04/27/16 18:48:52 Attachment A Pg 85 of 198

United States Bankruptcy Court/Southern District of New York	LEHMAN SECURITIES PROGRAMS
Lehman Brothers Holdings Claims Processing Center	BROOF OF CLASS
c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076	led: USBC - Southern District of New York
New York, NY 10150-5076	led: USBC - Suthern Holdings Inc., Et Al. Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000049740
In Re: Chapter 11 Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP)	08-13335 (dim)
Debtors. (Jointly Administered)	(1 1 1 1 1 1 1 1 1 1
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on https://www.lehman-ducket.com as of July 17, 2009	
Name and address of Creditor: (and name and address where notices should be sent if different from Country)	☐ Check this box to indicate that this claim amends a previously filed claim.
Banca Aletti & C. S.p.A.	Court Claim Number:
Via Santo Spirito, 14 20121 Milano	(If known)
Italy	
Attention: Mr. Massimo Zerbino	
	Filed on:
Telephone number: +39 0243358107 Email Address: massimo.zerbino@alettibank.it	
Name and address where payment should be sent (if different from above)	Check this box if you are aware that anyone else has filed a proof of claim relating to your
	claim. Attach copy of statement giving
	particulars.
Telephone number: Email Address:	
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be	the amount owed under your Lehman Programs Securities as of
September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States doll	them thereafter, and whether such claim matured or became
fixed or liquidated before or after September 15, 2006. The claim amount must be stated in Officer States don't 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a	schedule with the claim amounts for each Lehman Programs
Security to which this claim relates.	
Amount of Claim: See attached (Required)	
Check this box if the amount of claim includes interest or other charges in addition to the principal amount	due on the Lehman Programs Securities.
2 Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to w	hich this claim relates. If you are filing this claim with respect to
more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Program	s Securities to which this claim relates.
m	
International occurrence received (2017)	quired)
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire	A Blocking Number from your accountholder (i.e. the bank.
broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to mo	re than one Lehman Programs Security, you may attach a
schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.	
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or	other depository blocking reference number:
See attached (Required)	
4. Provide the Clearstream Bank, Euroclear Bank or other denository participant account number related to yo	ur Lehman Programs Securities for which you are filing this
claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account entity that holds such securities on your behalf). Beneficial holders should not provide their personal account	nt number from your accountholder (i.e. the bank, broker or other
	numbers.
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number	numbers.
	numbers.
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number See attached (Required) 5. Consent to Euroclear Bank. Clearstream Bank or Other Depository: By filing this claim, you consen	to, and are FOR COURT USE ONLY
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number See attached (Required) 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consen deemed to have authorized. Euroclear Bank, Clearstream Bank or other depository to disclose your identity and the second se	to, and are FOR COURT USE ONLY
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number See attached (Required) 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consen deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity as of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.	to, and are d holdings FOR COURT USE ONLY FILED / RECEIVED
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Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number See attached (Required) 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consen deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity at of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions. Date Signature: The person filing this claim must sign it. Sign and print name and title, if any, of October or other person authorized to file this claim and state address and telephone number if differences.	to, and are d holdings The creditor FOR COURT USE ONLY FILED / RECEIVED
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SOUTHERN DISTRICT OF NEW YORK	
In re:	Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
Debtors.	(Jointly Administered)

ANNEX TO PROOF OF CLAIM OF BANCA ALETTI & C. S.P.A.

- 1. <u>Claimant</u>. Banca Aletti & C. S.p.A. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. and Lehman Brothers UK Capital Funding II LP (the "Obligors") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the respective Clearstream Bank blocking reference number and the Clearstream Bank account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 attached hereto. The Program Securities issued by

Lehman Brothers Treasury Co. B.V. have also the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of Lehman Brothers Treasury Co. B.V. pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim</u>. The Claimant is authorized to act on behalf of the holders of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 68,411,014.91 <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on Exhibit 2 attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or

person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

EXHIBIT 1

iSiN Code	Clearstream blocking reference #	Clearstream account #	Issuer	Nominal Amount
VC0463550941	CA18387	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 797,000.00
XS0163559841 XS0176153350	CA18388	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 7,939,000.00
XS0181945972	CA18389	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 9,241,000.00
XS0185655445	CA18385	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 934,000.00
XS0302315386	CA18386	83603	TREASURY CO. BV	€ 625,000.00
XS0307348234	CA18384	83603	TREASURY CO. BV	€ 8,000.00
XS0162289663	CA18379	83611	TREASURY CO. BV	€ 542,000.00
XS0178969209	CA18375	83611	TREASURY CO. BV LEHMAN BROTHERS	€ 7,029,000.00
XS0189294225	CA18370	83611	TREASURY CO. BV LEHMAN BROTHERS	€ 336,000.00
XS0195431613	CA18377	83611	TREASURY CO. BV LEHMAN BROTHERS	€ 488,000.00
XS0200284247	CA18373	83611	LEHMAN BROTHERS	€ 10,499,000.00 € 170,000.00
XS0202417050 XS0197173643	CA18372 CA18368	83611 83611	LEHMAN BROTHERS TREASURY CO. BV	€ 20,000.00
XS0208459023	CA18371	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 1,845,000.00
XS0210782552	CA18363	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 1,289,000.00
XS0211814123	CA18364	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 1,319,000.00
XS0211093041	CA18367	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 2,027,000.00
XS0213971210	CA18365	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 733,000.00

			LEHMAN BROTHERS	€ 5,000.00
XS0213416141	CA18358	83611	TREASURY CO. BV	€ 5,000.00
			LEHMAN BROTHERS	
			UK CAPITAL FUNDING	
XS0229269856	CA18359	83611	II LP	€ 15,000.00
			LEHMAN BROTHERS	
XS0220704109	CA18357	83611	TREASURY CO. BV	€ 65,000.00
X00220101100			LEHMAN BROTHERS	
IT0006578600	CA18361	83611	TREASURY CO. BV	€ 140,000.00
			LEHMAN BROTHERS	
XS0276162327	CA18349	83611	TREASURY CO. BV	€ 102,000.00
7,002,0,0202.			LEHMAN BROTHERS	
XS0229584296	CA18348	83611	TREASURY CO. BV	€ 25,000.00
			LEHMAN BROTHERS	
XS0210433206	CA18351	83611	TREASURY CO. BV	€ 8,000.00
7.002.07.00.00			LEHMAN BROTHERS	
XS0232364868	CA18347	83611	TREASURY CO. BV	€ 2,000.00
			LEHMAN BROTHERS	
XS0301086475	CA18343	83611	TREASURY CO. BV	€ 651.00

EXHIBIT 2

[ISIN Code]	Nominal Amount	Interest **	Total	Nominal Amount	Interest	Total
listiv Codel	(FOREIGN CURRENCY)	(FOREIGN CURRENCY)	(FOREIGN CURRENCY)	(USD) *	(USD) *	(USD) *
XS0163559841	EUR 797,000.00	15,379.09	812,379.09	1,127,834.70	21,762.95	1,149,597.65
XS0176153350	EUR 7,939,000.00	225,680.26	8,164,680.26	11,234,478.90	319,360.14	11,553,839.04
XS0181945972	EUR 9,241,000.00	221,061.35	9,462,061.35	13,076,939.10	312,823.92	13,389,763.02
XS0185655445	EUR 934,000.00	23,283.24	957,283.24	1,321,703.40	32,948.12	1,354,651.52
XS0302315386	EUR 625,000.00	-	625,000.00	884,437.50		884,437.50
XS0307348234	EUR 8,000.00		8,000.00	11,320.80	-	11,320.80
XS0162289663	EUR 542,000.00	5,871.67	547,871.67	766,984.20	8,309.00	775,293.20
XS0178969209	EUR 7,029,000.00	193,483.55	7,222,483.55	9,946,737.90	273,798.58	10,220,536.48
XS0189294225	EUR 336,000.00	5,709.77	341,709.77	475,473.60	8,079.90	483,553.50
XS0195431613	EUR 488,000.00	4,111.04	492,111.04	690,568.80	5,817.53	696,386.33
XS0200284247	EUR 10,499,000.00	313,224.27	10,812,224.27	14,857,134.90	443,243.67	15,300,378.57
XS0202417050	EUR 170,000.00	4,506.04	174,506.04	240,567.00	6,376.50	246,943.50
XS0197173643	EUR 20,000.00	82.50	20,082.50	28,302.00	116.75	28,418.75
XS0208459023	EUR 1,845,000.00	41,243.59	1,886,243.59	2,610,859.50	58,363.80	2,669,223.30
XS0210782552	GBP 1,289,000.00	28,176.27	1,317,176.27	2,297,454.37	50,220.09	2,347,674.47
XS0211814123	EUR 1,319,000.00	31,702.51	1,350,702.51	1,866,516.90	44,862.23	1,911,379.13
XS0211093041	EUR 2,027,000.00	23,371.42	2,050,371.42	2,868,407.70	33,072.90	2,901,480.60
XS0213971210	EUR 733,000.00	7,209.84	740,209.84	1,037,268.30	10,202.64	1,047,470.94
XS0213416141	EUR 5,000.00	49.73	5,049.73	7,075.50	70.37	7,145.87
XS0229269856	EUR 15,000.00	754.05	15,754.05	21,226.50	1,067.05	22,293.55
XS0220704109	EUR 65,000.00	759.43	65,759.43	91,981.50	1,074.67	93,056.17
IT0006578600	EUR 140,000.00		140,000.00	198,114.00	-	198,114.00
XS0276162327	EUR 102,000.00	-	102,000.00	144,340.20	•	144,340.20
XS0229584296	EUR 25,000.00	1,708.50	26,708.50	35,377.50	2,417.70	37,795.20
XS0210433206	EUR 8,000.00	278.03	8,278.03	11,320.80	393.44	11,714.24
XS0232364868	EUR 2,000.00	103.93	2,103.93	2,830.20	147.08	2,977.28
XS0301086475	UNIT 651.00	_	651,000.00	921,230.10	-	921,230.10

Total Claim Amount USD 68,411,014.91

^{*} Amounts due in EUR have been converted to US Dollars using the exchange reference rate published by the European Central Bank for September 15, 2008: 1 EUR = 1.4151 USD

Amounts due in GBP have been converted to US Dollars using the exchange reference rate GBP/EUR and USD/EUR published by the European Central Bank for September 15, 2008: 1 GBP = 1.259525 EUR = 1.782354 USD

Each 1 UNIT of ISIN Code XS0301086475 represents EUR 1.000 of Nominal Amount

** Interest accrued until September 14, 2008 (included)

EXHIBIT 3

Evidence of Ownership

16.40 CET

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BSMauro Clearstream Banking - CreationOnline **Security Post Release** Trade Details Trade Details
Type of Settlement Trasaction
Rool Reference
Function of Message
Instruction Type
Activity Status
ISIN Code
Common Code
Financial Instrument Descriptio Securities Blocking New Message Receive Free Settlement-Settled XS0163559841 016355984 EUR 6 LEHMAN BROS TREAS CO.BV (1-2)03-2011 Place of Safekeeping IRVTGB2XGPT Common Code Type Reason Narrative Sender's Reference Single NONREE Last Update Previous Reference 19/10/09 16 06 Deal Reference Deal Reference
Common Reference.
Safekeeping Account
Quantity of Enancial Instrument
Quantity Type.
Processing Indicator
Requested Settlement Date.
Trade Date/Dime
Closing Date.
Priority
Settlement Amount
Currency'
Guaranteed Delivery 83611 - BANCA ALETTI + C SPA-CLIENT A/C Face Amount 19/10/09 19/10/09 0:00 Normal Priority Currency Guaranteed Delivery No Deal Price Deal Price Currency a
Deal Price Format
Taxable Income Per Share
Taxable Income Per Share Currency.
Taxable Income Per Share Format
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Dest Matching Instruction CA18387 Settlement Activity 19/10/09 tanggangganggang palaman ang Propinsi Sanggangganggangganggang at an ang palamanggang Settlement Parties Clearstream, Depository BIC Address Place of Settlement 16B) BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream Physical Transaction. in mediate Release No No Delivering Agent Rarty Description Settlement Processing I Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0163559841 OCE CONSENT YOUR INX **Settlement Parties** Type Party Account CLEARSTREAM 83611 Receiving Agent Additional Information Input Media Internal

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16.40 CET Clearstream Banking - CreationOnline BSMauro References Type Reference 02MB9A0005BU Clearstream 0000000065486204 CA Notification History ČÉT-Tim estam p Settlement 19/10/09 16:06 19/10/09 16.06 Processing Accepted Receipt 19/10/09 16 06 Processing

Current Activity-Status

CET-Timestamp User Activity Status Reason Narrative
19/10/09 16.06 Settlement Settled

19/10/09 Page 56/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** 化交换性 医电子性性性性 经收益 有一致的 医中枢动物 医麻醉性病 医电子性病 Trade Details Trade Details
Type of Settlement Trasaction To the Pool Reference
Function of Massage
Instruction Type:
Activity Status
ISIN Code
Common Code
Financial Instrument Description
Place of Safekeeping
Common Code Type Securities Blocking New Message Receive Free Settlement-Settled XS0176153350 017615335 EUR 7,00 LEHMAN BROS.HOLD.INC 03-2013 IRVTGB2XGPT Common Code Type Reason Narrative Senderis Reference Last Update Single NONREF Last Update
Previous Reference
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Quantity of Financial Instrument
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Closing Date
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Type	Crounial Table		
Receiving Agent	CLEARSTREAM	83611	
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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Reference Type 02M89A0005BW Clearstream CA Notification 0000000065486207 History Reason Narrative CEI/Timestemp 19/10/09 16:06 19/10/09 16 06 **Processing** Accepted Receipt 19/10/09 16:06 Processing **Current Activity-Status**

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19/10/09 Page 72/80

16.40 CET

Clearstream Banking - CreationOnline BSMauro Security Post Release 文品等的 2018年2月2日 2月2日 - 1918年2月2日 - 1918日 -**Trade Details** Trade Dotalls
Type of Settlement Trasaction:
Pool/Reference
Function of Message
Instruction Type
Activity, Status
ISIN Code.
Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0181945972 018194597 Financial Instrument Description EUR 7 LEHMAN BROS TREAS: 04-2014 einanciai instrument de Place of Safekeeping Common Code Type Roas on Narrative Sender 5. Reference Last Update Previous Reference IRVTGB2XGPT Single NONREF 19/10/09 16:06 Doal Reference Comin on Reference Safekeeping Account Quantity of Financial Instrument 83611 - BANCA ALETTI + C. SPA-CLIENT A/C 9 241 000 Quantity of Financial list on Quantity Type; Processing Indicator Requested Settlement Date Trade Date/Time Closing Date Priority Face Amount 19/10/09 19/10/09 0 00 Closing Priority Settlement Amount Normal Priority Currency
Guaranteed Delivery
Deal Price
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Jaxable Income Per Share
Taxable Income Per Share Currency,
Taxable Income Per Share Format
FX Currency
Delivery Without Matching:
Deal
Trano
Common
Transaction Indicator
Actual Settled Amount
Exp JET Settlement Date
Exp JET Value Date
Best Matching Instruction:
Settlement Parties No Yes CA 18389 Settlement Activity 19/10/09 Settlement Parties Clearstream Depository BIC Address [68] BKNY MELLON, LDN IRVTGB2XGPT Place of Settlement CEDELULLXXX - Clearstream Physical Transaction No jmmedlate Rejease Delivering Agent No Clearstream 83611 Party BANCA ALETTI + C. SPA-CLIENT A/C Description: Settlement Processing:Narrative CONSBLOK XS0181945972 OCE CONSENT YOUR INX Settlement Parties

Type Party Account	market was the same
Receiving Agenit CLEARSTREAM 83611	
Additional Information	第四届 特拉尔
Input Media:	
Input Media:	

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BSMauro Clearstream Banking - CreationOnline 16.40 CET References Type: Reference : Clearstream 02M89A0005BY 0000000065486205 CA Notification History CET Timestam; 19/10/09 16:06 Processing 19/10/09 16.06 Accepted 19/10/09 16:06 Processing Receipt **Current Activity-Status** CET Timestamp-Activity Status Reason Narrative 19/10/09 16.06 Settled

19/10/09

Clearstream Banking - CreationOnline

BSMauro

16.40 CET

Security Post Release · 10.5 在大型建筑工作的图像在文本中的图像中的图像中的图像中的图像中的图像中最高 **Trade Details** Type of Settlement Tras Securities Blocking Pool Raference Function of Message New Message Function of Messa Instruction Type 'Activity' Status ISIN Code Common Code Receive Free Settlement-Settled XS0185655445 018565544 Financial instrument Description EUR 7 LEHMAN BROS.TREAS. 04-2014 Place of Safekeeping IRVTGB2XGPT Common Code Type Reason Narrative Single Reason Narrative Sender's Reference-Last Update Previous Reference Deal Reference Common Reference NONREF 19/10/09 16 06 Common Reference Satekeeping Account Quantity of Financial Instrument 83611 - BANCA ALETTI + C SPA-CLIENT A/C 934 000 Quantity Type
Processing Indicator
Requested Settlement Date. Face Amount 19/10/09 Trade Date/Time 19/10/09 0.00 Jrade co. Closing Date ∵ Normal Priority Settlement Amount Currency Guaranteed Delivery No Deal Price
Deal Price
Deal Price Currency
Deal Price Format
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Taxable Incomic Per Share Currency
Taxable Incomic Per Share Format Taxable, Income Per Share I FX Currency
De livery Without Matching,
Deal
Trans
Common
Transaction Indicator
Actual Settled Amount
Exp /巴打, Sottlement Date
Exp /巴打, Value Date CA18385 Settlement Activity 19/10/09 xp./⊞f.-Value Date . Best Matching Instruction 官员,就是是自己的公司的国际的主题员。但自己是是特殊的一种,他们就是多数的 Settlement Parties Člearstream Depository, BIC Address [6B] BKNY MELLON, LDN RVTGB2XGPT Place of Settlement CEDELULLXXX - Clearstream Physical Transaction Immediate Release Delivering Agent No No Clearstream Partý Description 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0185655445 OCE CONSENT YOUR INX Settlement Processing Narration **Settlement Parties** Format Party: Account

Pagaina Agent	CLEARSTREAM	83611	
Additional information		en en el region de la company de la comp	(4) (2) (4) (4) (4) (4) (4) (4)
Input Media			
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19/10/09			Page 61/80

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16.40 CET Clearstream Banking - CreationOnline BSMauro References Reference Type 02M89A0005BQ Clearstream CA Notification 0000000065486202 History Activity Status Reason Narrative CETTIMES LATED IN 19/10/09 16:06 Settlement 19/10/09 16:06 Processing Accepted Receipt 19/10/09 16 06 Processing **Current Activity-Status** CET Timestamp Üğei Açılvityi Status Reason/Narretive

Settled 19/10/09.16.06 Settlement

19/10/09 Page 62/80

Clearstream Banking - CreationOnline 16.36 CET **BSMauro Security Post Release** Trade Details Jype of Settlement Trasaction
Pool Reference Securities Blocking Poolikeference
Eunction of Message
Instruction Type
Activity, Status
ISIN Code
Common Code
Financial Instrument Description
Place of Salekeeping
Common Code Type
Reason Narrative
Sender's Reference
Last Undate
Previous Reference
Common Reference
Salekeeping Account
Quantity of Financial Instrument.
Quantity Type New Message Receive Free Settlement-Settled XS0302315386 030231538 EUR 0,00 LEHMAN BROS TREAS 07-2013 IRVTGB2XGPT Single NONREF 19/10/09 16:06 83603 - BANCA ALETTI + C SPA Quantity of Financial Instrument
Quantity Type
Processing Indicator
Requested Settlement Date.
Trade DateFilme
Closing Date
Priority
Settlement Amount
Currency
Guaranteed Delivery
Deal Frice 625 000 Face Amount 19/10/09 19/10/09 0.00 Normal Priority Guaranteed Delivery.
Deal Price:
Deal Price Currency
Deal Price Currency
Deal Price Format
Taxable Income Per Share
Taxable Income Per Share Currency
Taxable Income Per Share Format
FX Currency
Delivery Without Matching
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Common: Yes Trano
Common:
Transaction indicator
Actual Settled Amount CA 18386 Settlement Activity Exp./Elf. Settlement Date Exp./Elf. Value, Date Best Matching Instruction 19/10/09 Settlement Parties ClearStream Depository BJC Address Place of Settlement Physical Transaction (6B) BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream linimediate Release : No Delivering Agent Clearstream Party Description Settlement Processing N 83603 BANÇA ALETTI + C SPA CONSBLOK XS0302315386 OCE CONSENT YOUR INX **Settlement Parties**

Receiving Agent	CLEARSTREAM	83603	
Additional information		到的AECERNA SAECEAR 4、19.6028192820138	医生物性性
Input Media	Internal		
19/10/09			Page 5/8

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BSMauro Clearstream Banking - CreationOnline 16.36 CET

References

 Type
 Reference

 Clearstream
 02M89A0005BS

 CA Notification
 000000065489174

History

 CETTIMEStamp
 User
 Activity
 Status
 Reason Narrative

 19/10/09 16:06
 Settlement
 Settled

 19/10/09 16:06
 Processing
 Accepted

 19/10/09 16:06
 Processing
 Receipt

Current Activity-Status

CETCTIMe stamp Usef Activity Status Reason Narrative 19/10/09 16 06 Settlement Settled

19/10/09

16.36 CET BSMauro Clearstream Banking - CreationOnline **Security Post Release** Trade Details Trade Details

Types of Settlement Trasaction.

Pool Reference

Function of Message
Instruction Type
Activity Status
ISIN Code
Common Code
Financial Instrument Description

Place of Safekeeping Securities Blocking New Message Receive Free Settlement-Settled XS0307348234 030734823 EUR 0,00 LEHMAN BROS TREAS 07-2013 Race of Safekeeping Confinion Code Type IRVTGB2XGPT Common Code Type Reason Narrative Single Sender's Reference Last Update NONREF 19/10/09 16 06 Cast opene Previous Referênce Deal Reference Deal Reference Common Reference Safèkeeping Account Safekeeping Account Quantity of Financial Instrument Quantity Typeⁿ 83603 - BANCA ALETTI + C SPA 8 000 Face Amount quantity type
Processing Indicator
Requested Settlement Date
Trade Date/Time
Closing Date
Priority 19/10/09 19/10/09 0 00 Priority Settlement-Amount Currency: Guaranteed Delivery Normal Priority Deal Price Ceal Price Currency
Deal Price Format
(Taxable Income Per Share
Taxable Income Per Share Currency
Taxable Income Per Share Format
EX Currency Deal Price Currency elivery Without Matching Yes Deal Trano Common Transaction Indicator CA 18384 Settlement Activity Actual Settled Amount Exp./Eff. Settlement Date Exp./Eff. Value Date Best Matching Instruction 19/10/09 Settlement Parties Settlement Parties
Clears fream Depository
BIC Address
Place of Settlement
Physical Transaction
Immodiate Release
Delivering Agent
Party [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No Nh Clearstream Party Description Settlement Processing Narrativ 83603 BANCA ALETTI + C. SPA CONSBLOK XS0307348234 OCE CONSENT YOUR INX **Settlement Parties** Type Formal Party Account 83603 Receiving Agent CLEARSTREAM ता प्राप्त मा कर्षित्वे के प्राप्त के काम अवस्था है है अधिक स्थानकर Additional Information Input Media

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BSMauro Clearstream Banking - CreationOnline 16.36 CET

References

 Type
 Reference

 Clearstream
 02M89A0005BO

 CA Notification
 000000065489253

History

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 Activity
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 Reason Narrative

 19/10/09 16 06
 Settlement
 Settled

 19/10/09 16 06
 Processing
 Accepted

Receipt

19/10/09 16.06 Processing

Current Activity-Status

CET Timestamp ReasonNarrative ReasonNarrative

19/10/09 16 06 Settlement Settled

19/10/09

16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** 图16. Common control c Trade Details Trade Details

Type of Settlement Trasaction

Pool Reference

Function of Message
Instruction Type

Activity Status

ISIN Code

Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0162289663 016228966 Financial Instrument Description EUR 5 LEHMAN BROS TREAS CO.BV 03-2010 Financial Instrument Description
Place of Safekeeping
Common Code Type
Reas on Narrative.
Sender's Reference.
Last Update
Previous Reference
Common Reference
Safekeeping Account.
Output Vol Financial Instrument IRVTGB2XGPT Single NONREF 19/10/09 16:06 Safekeeping Account,
Quantity of Financial Instrument,
Quantity Type.
Processing indicator
Requested Settlement, Date
Trade Date/Time
Closing Date/
Priority.
Settlement Amount
Currency
Güaranteed Delivery
Deal Price 83611 - BANCA ALETTI + C. SPA-CLIENT A/C 542 000 Face Amount 19/10/09 19/10/09 0.00 Normal Priority No Charlego Eyne.

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Taxable income Per Share

Taxable income Per Share Currency.

Taxable income Per Share Format Delivery Without Matching

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Transaction Indicator

Actual Settled Amount

Exp. IEU Settlement Date

Exp. IEU Value Date

Best Matching Instructor Yes CA 18379 Settlement Activity 19/10/09 Best Matching Instruction. or colored to regent the extreme one can be be the contract of the colored property of the colored pro Settlement Parties
Clearstream Depository
BIC Address
Place of Settlement
Physical Transaction
Immediate Release
Delivering Agent
Party
Settlement Process
Settlement Party
Settlement Party
Settlement Parties Settlement Parties [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C Settlement Processing N CONSBLOK XS0162289663 OCE CONSENT YOUR INX **Settlement Parties**

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References 02MB9A0005BZ Clearstream CA Notification 0000000065486201 History Status Reason Narrative CET Timestamp Settlement 19/10/09 16.06 19/10/09 16.06 Processing Accepted Receipt 19/10/09 16.06 Processing **Current Activity-Status** CET Timestamp User Activity Status Reason Narrative

19/10/09 16 06 Settled

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16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** ·中国的自身是"美国国民共和国的基础的发展"自身中国的"国际国际和国民"的基础中的基础的形式。 Trade Details Trade Details
Type of Settlement Trasaction
Paol Reference
Function of Message
Instruction Type
Activity Status
ISIN Code
Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0178969209 017896920 Financial Instrument Description: EUR 7 LEHMAN BROS TREAS CO BV (1-2) 03-2013 Place of Safekeeping Common Code Type Reason Narrative RVTGB2XGPT Single Reason Narrange Sender's Raference L'ast Update Previous Reference Deal Reference NONREF 19/10/09 16:06 Common Reference Salekeeping Account Quantity of Financial instrument.
Quantity Type 83611 - BANCA ALETTI + C SPA-CLIENT A/C 7.029.000 Quantity Type Quantity Type Processing indicator Requested Settlement Date Trade Date/Time Face Amount 19/10/09 19/10/09 0.00 Closing Date Priority Normal Priority Settlement Amount Currency Guaranteed Delivery No Guaranteed Delivery
Deal Price
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Taxable Income Per Share
Taxable Income Per Share Currency
Jaxable Income Per Share Format
FX Currency
Delivery Without Matching Yes Deal Trano Trano
Common:
Transaction indicator
Actual Settled Amount
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Best Matching Instruction CA 18375 Settlement Activity 19/10/09 。如果你们的人们,我就是我的特别的证明,我是那么的事情的人,就是这个人的人,这些我们的人的情况是 Settlement Parties Clcarstream Depository BIC Address [6B] BKNY MELLON, LDN IRVTGB2XGPT Place of Settlement Physical Transaction Immediate Release CEDELULLXXX - Clearstream No Мо Delivering Agent Clearstream arty. Description 83611 BANCA ALETTI + C. SPA-CLIENT A/C Settlement Processing CONSBLOK XS0178969209 OCE CONSENT YOUR INX **Settlement Parties**

Receiving Agent Additional Information	CLEARSTREAM	Account 83611
Input Media	Internal	
19/10/09		Page 79/80

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16,40 CET BSMauro Clearstream Banking - CreationOnline References Туре Reference 02M89A0005BR Clearstream CA Notification 0000000065486203 History

Üser Activity CET Timestamp 19/10/09 16:06 Settlement Settled 19/10/09 16 06 Processing Accepted

19/10/09 16:06 Receipt Processing

Current Activity-Status

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19/10/09 Page 80/80

BSMauro	Clearstream Banking - CreationOnline	16.40 CET
Security Post Release		naka mana
Type of Settlement Trasactions	Securities Blocking	
Dan Danmara Sala		
Function of Wessage	New Message	
Instruction Type	Receive Free	
Activity Status	Settlement-Settled	
ISIN Code	XS0189294225	
Common Code	018929422	
Financial instrument Description	EUR 7,00 LEHMAN BROS.04-2014	
Place of Safake eping	IRV TGB2XGPT	
Common Code Type	Single	
Reason Narrative	NONREF	
Sender's Reference	19/10/09 16 06	
Last Update Previous Reference (***)	19/10/09/10/09	
Deal Reference		
Common Reference		
SafeKeepingiAccount 18	SEE 83611 - BANCA ALETTI + C. SPA-CLIENT A/C	
Quantity of Financial Instrument	336 000	
QuantityType	Face Amount	
Processing Indicator.		
Requested Settlement Date	19/10/09	
Tirade Date/Time	19/10/09 0 00	
Closing Date		
Priority	Normal Priority	
Settlement Amount		
currency s		
Guaranteed Delivery	No No	
DealiPrice)		
DealiFile(Currency		
Deal Price Format Taxable Income Per Share		
Taxable Income Per Share Currency		
Taxable Income Per Share Format		
FX Currency		
Delivery/Without Matching	Yes	
Deal Trano	CA18370	
Common		
Transaction Indicator	Settlement Activity	
Actual/Settled Amount		
Exp:/Eff. Settlement Date	19/10/09	
Exp./Eff. Value Date		
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Settlement Parties		
Clearstream Depository	[6B] BKNY MELLON, LDN IRVTGB2XGPT	
BIC Address	SECTION OF THE STATE OF THE STA	
Place of Settlement Physical Transaction	No	
im mediate:Release	No	
Delivering/Agent	Clearstream	
Party	83611	
Descriptions	BANCA ALETTI + C SPA-CLIENT A/C	
Settlement Processing Narrative	CONSBLOK XS0189294225 OCE CONSENT YOUR INX	
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Settlement Parties		
		NAME OF THE PARTY

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Receiving Agent

Additional Information

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16.40 CET Clearstream Banking - CreationOnline BSMauro References Type Reference: 77 02M89A0005B2 Clearstream 0000000065486206 CA Notification History Status Reason Narrative CET Timestam Settlement 19/10/09 16.06 Processing Accepted 19/10/09 16:06 Receipt Processing 19/10/09 16 06

Current Activity-Status

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19/10/09 16.06 Settlement Settled

19/10/09 Page 40/80

16.40 CET **BSMauro** Clearstream Banking - CreationOnline **Security Post Release Trade Details** Type or Settle ment Tresection i Poblikaterence Function of Message Instruction Type Activity Status Securities Blocking New Message struction.Type
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Processing | Indicator Receive Free Settlement-Settled XS0195431613 019543161 EUR 7,00 LEHMAN BROS.(W8 S)/TREAS 04-2014 IRVTGB2XGPT NONREF 19/10/09 16 06 83611 - BANCA ALETTI + C SPA-CLIENT A/C 488 000 Face Amount ocessing (ndicator quested Settlement Date ade Date/Time 19/10/09 ICOLOGICATE
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est Matching Instruction. Yes CA 18377 Settlement Activity 19/10/09 Settlement Parties Clearstream Depository
BIC:Address
Place of Settlement:
Physical Transaction
Immediate Release
Delivering Agent:
Party [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Clearstream BANCA ALETTI + C SPA-CLIENT A/C CONSBLOK XS0195431613 OCE CONSENT YOUR INX

Settlement Parties

Type	Format	Ranty A	COLUMN
Receiving Agent	CLEARSTREAM	83611	and the second s
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input Media	Internal		

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Reference Typer 02M89A0005BV Clearstream 0000000065486263 **CA Notification** History Reason:Narrative CET Timestan Settled 19/10/09 16.06 Settlement Processing Accepted 19/10/09 16:06 Processing Receipt 19/10/09 16.06 **Current Activity-Status** : Slatus Reason Narrative

CET/Timestamp User Activity Settled Settlement

19/10/09 16.06

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16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** Trade Details Trade Details

Invite of Settlement Trasaction

Pool Reference

Function of Message

Instruction Type

Activity Status

ISIN Gode

Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0200284247 020028424 commonicore Financial/Instrument.Description Placerof/Safekceping Common Code Type ReasonNarrative Sender's Refetence Cast/Update EUR 7,00 LEHMAN BROS.TREAS.04-2014 IRVTGB2XGPT Single NONREF 19/10/09 16.06 evious Reference al Reference immon Reference Safe Keeping Account

Quantity of Financial Instrument

Quantity Type:

Processing Indicator, 83611 - BANCA ALETTI + C SPA-CLIENT A/C 10 499.000 Face Amount aquested Settlement Date ade Date (Times Osing Date Josins 19/10/09 19/10/09 0 00 Closing Date
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Taxable Income Per Share Format
FX Currency
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Actual Settlement Date
Exp /Er Value Date
Best Matching Instruction
Settlement Parties Normal Priority No CA 18373 Settlement Activity 19/10/09 Settlement Parties Clearstream Depository
BIC Address
Place of Settlemente
Physical Transaction
Immodiate Release [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No elivering Agent Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0200284247 OCE CONSENT YOUR INX

Settlement Parties

Type	Format	i Pariy Accounts
****	O. E. COTDELLA	02611
Additional Information		
Input/Media	Internal	

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Type: 02M89A0005BN Clearstream 0000000065486266 CA Notification History Status Reason(Narrative L CELTImestamp User Activity, Settlement Settled 19/10/09 16 06 Accepted 19/10/09 16:06 Processing Receipt Processing 19/10/09 16:06

Settlement

Current Activity-Status

19/10/09 16 06

16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** Trade Details

Tippe of Settlem ent Trasaction
Paol Reference
Finction of Message
Instruction Type
Activity Status Securities Blocking New Message Receive Free ctivity Status

SIN Code

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and Ref Settlement-Settled XS0202417050 020241705 EUR 7,50 LEHMAN BROS HOLD PLC 04-2014 IRVTGB2XGPT Single NONREE 19/10/09 16:06 83611 - BANCA ALETTI + C SPA-CLIENT A/C iantity of Financial Instrument. 170 000 uamily///ypg uamily//ypg roces sing/indicator squested/Sattlement/Date ade/Date/Time osing/Date Face Amount 19/10/09 19/10/09 0.00 Closing Date
Priority
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es (Matching) instruction
ettlement Parties Normal Priority No Yes CA18372 Settlement Activity 19/10/09

Settlement Parties [6B] BKNY MELLON, LDN

Settlement Parties
Clearstream, Depository
BIC:Address
Place of Settlement
Physical Transaction
Immediate Release
Delivering Agent IRVTGB2XGPT CEDELULLXXX - Clearstream Ю No

Clearstream

BANCA ALETTI + C SPA-CLIENT A/C

CONSBLOK XS0202417050 OCE CONSENT YOUR INX

Settlement Parties Type Party Accounts CLEARSTREAM Receiving Agent Additional Information Input Media: Internal

2012年中国大学工作设置中国中国国家的基础基础基础。中国中国中国国家的

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References DVPC - 1 Pelevence - Preference 02M89A0005BL Clearstream 0000000065486265 CA Notification History . ReasoniNarrative CIPTINE stamp Settlement 19/10/09 16.06 Processing Accepted 19/10/09 16.06 Processing Receipt 19/10/09 16:06 **Current Activity-Status** Activity Status Reason:Narrative since CET Timestamp

Settlement

Settled

19/10/09

19/10/09 16.06

16.40 CET Clearstream Banking - CreationOnline BSMauro **Security Post Release Trade Details** Irage Details

Ilype of Settlement/Trasaction

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Function of Message

Instruction alype

Activity-Status

ISIN Gode

Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0197173643 Son mon Code

Inancial has frument Description

Place of Safet cepting

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Reason Narrative

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requested Settlement Date

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Taxable Income Per Share Format
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Transaction Indicator
Actual Settled Amount
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Settlement Parties No Yes CA 18368 Settlement Activity 19/10/09 ·全国民民民民民党中国中国国际的国际企业中国国际企业中国国际中国中国的国际企业企业中国际中国的国际企业。 Settlement Parties Settlement Parties
Clearstream Depository,
BIC Andress
Place of Settlement
Physical Transaction
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Delivering Agent [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0197173643 OCE CONSENT YOUR INX

Settlement I	Parties
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Settlement Parties		Party Account Account
Possiving Agent	CLEARSTREAM	83611
Additional Information		#3611 Herrison No. Harris Hearth P. (1994) #Morro Serval Harrison No. (1994) P. (1994) #161 #17 P. (1
input Media	Internal	

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BSMauro	Clearstream Banking -	CreationOnline	16.40 CET
References			
type	Refer	ence e	
Clearstream	02M89	A0005AW	
CA Notification	00000	00065486277	•
History			
CET/Timestamp Use	To Property Activity		eason Narrative
19/10/09 16:06	Settlement	Settled	
19/10/09 16.06	Processing	Accepted	
19/10/09 16.06	Processing	Receipt	
Current Activity-Status			
CET Timestamp Us	er Activity		ason(Narrative
19/10/09 16 06	Settlement	Settled	

16.40 CET Clearstream Banking - CreationOnline **BSMauro** Security Post Release Trade Details Type of Settlement-Trasaction Securities Blocking unction of Message
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SIN Code
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ommon Code-Type
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ommon Reference New Message Receive Free Settlement-Settled XS0208459023 020845902 EUR 7,00 LEHMAN BROS.HOLD INC. 04-2016 IRVTGB2XGPT Single NONREF 19/10/09 16:06 nmonikeletence. 83611 - BANCA ALETTI + C SPA-CLIENT A/C uantity of Financial Instrument
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Delivery Without Matching No Yes CA 18371 ansactionlindicator. tual Settled Amount Settlement Activity sap (E)(Settlement Date Sp (E)(Yalue Date Best Matching Instruction . 19/10/09 Settlement Parties Clearstream Depository, BC/Address Place of Settlement Physical Transaction Immediate Releases [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0208459023 OCE CONSENT YOUR INX **Settlement Parties** Type/C Party Account

CLEARSTREAM

Internal

Receiving Agent

Additional Information

Input Media

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16.40 CET Clearstream Banking - CreationOnline BSMauro References Reference Typet 02M89A0005BJ Clearstream 0000000065486264 **CA** Notification History Status V Reason Narrative **CELLIMES**tam Settled 19/10/09 16:06 Settlement Processing Accepted 19/10/09 16.06 Receipt 19/10/09 16:06 Processing **Current Activity-Status** Status Reason/Narrative

Settled

Settlement

CETATIM estamp: User Activity

19/10/09 16.06

Page 78/80 19/10/09

BSMauro	Clearstream Banking - CreationOnline	16.40 CET
Security Post Release		
Trade Details	。	
Type of Settlement Trasaction	Securities Blocking	
Pool Reference		
Function of Message	New Message	
Function of Message Instruction Type	Receive Free	
Activity-Status ISIN Gode	Settlement-Settled	
ISINGode	XS0210782552	
Common Code	021078255	
Financial Instrument Description	EUR FL.R LEHMAN BROS. TREAS.CO. BV 05-2013	
	IRVTGB2XGPT	
Riace; of Safe keeping Common: Code Type	Single	
Reason:Narrative		
Senderls Reference	NONREF	
Last Update	19/10/09 16 06	
Previous Reference	44	
Deal Reference		
Common Reference		
Salekoeging Account	83611 - BANCA ALETTI + C SPA-CLIENT A/C	
Quantity of Financial Instrument	1 289.000	
	Face Amount	
Quantity Type		
Processing Indicator:	19/10/09	
Requested Settlement Date Frade Date/Time	19/10/09 0 00	
race Late/	13/10/03/03/03	
Closing Date	Normal Priority	
Priority	e and the money	
Settlement Amount		
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Taxable Income:Per Share Format		
FX Currency		
Delivery Without Matching	Yes	
Deal		
Trano Common:	CA18363	
Common		
Transaction indicator	Settlement Activity	
Actual Settled Amount		
Exp./Eff. Settlement Date	19/10/09	
Exp./Ef Value Date		
Best Matching Instruction		Telefon 1
Settlement Parties		e de la propieta de la companya de l La companya de la co
Clearstream Depositoryk	[6B] BKNY MELLON, LON	
BIC Address	IRVTGB2XGPT	
BIC Address Place of Settlement	CEDELULLXXX - Clearstream	
Physical Transaction	V. Sanda No	
immediate Release	No No	
Delivering Agent	Clearstream	
Rarty.	83611	
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Settlement Processing Narrative	CONSBLOK XS0210782552 OCE CONSENT YOUR INX	

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Reference: Type 02M89A0005B8 Clearstream 0000000065486563 **CA Notification** History CET/Timestam Settlement 19/10/09 16.06 Accepted Processing 19/10/09 16.06 Receipt 19/10/09 16:06 Processing

Current Activity-Status

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19/10/09 16.06 Settlement Settled

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Security Post Release Trade Details	BSMauro	Clearstream Banking - CreationOnline	16.40 CET
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021191412 BLR 7 DOLEMAN BROS TREAS CO SV 05-2017 RV TGBZXGPT Single	ISIN Code		
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Settlement Set	Reason Narrative		
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Sasti - BANCA ALETTI + C SPA-CLIENT A/C			
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References

References

Clearstream Banking - CreationOnline

References

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CA Notification

Current Activity-Status

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19/10/09 16 06 Settlement Settled

19/10/09 Page 6/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro**

Security Post Release

Trade Details

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Securities Blocking

New Message Receive Free Settlement-Settled XS0211093041 021109304

EUR 7,50 LEHMAN BROS TREAS CO.BV. 05-2015

IRVTGB2XGPT Single

NONREF 19/10/09 16 06

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Actual Settled Amount:
Express Settlement Data
Express Value Data
Best Matching Instruction
Settlement Parties Settlement Activity

19/10/09

Clearstream Depository
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Place or Settlement
Physical Transaction
Immediate Release
Delivering Agent [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Clearstream 83611

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Page 13/80 19/10/09

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16.40 CET Clearstream Banking - CreationOnline **BSMauro**

References

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Settlement 19/10/09 16.06 Processing 19/10/09 16.06 19/10/09 16 06

Accepted Receipt Processing

Current Activity-Status

Status Reason Narrative: CEI Timestamp. User Settled

Settlement 19/10/09 16:06

19/10/09

Page 14/80

BSMauro Clearstream Banking - CreationOnline 16.40 CET **Security Post Release** Trade Details

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ACTIVITY STATUS.

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Common Code Type.

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Sender's Reference.

Last Update.

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Dealizerance.

Common Reference.

Safe keeping Account.

Quantity of Financial Instrument.

Quantity Type.

Process inglindicator. metallige in Algebrane alternation that was paying highlight being Algebra international Albert in Algebra **Trade Details** Securities Blocking New Message Receive Free Settlement-Settled XS0213971210 021397121 EUR 7,625 LEHMAN BROS.TREAS CO.BV (W8 S)05-15 IRVTGB2XGPT Single NONREF 19/10/09 16.06 83611 - BANCA ALETTI + C SPA-CLIENT A/C 733 000 Face Amount Requested Settlement Dale Trade Date Time Glosing Date 19/10/09 0:00 Normal Priority ttlement Amount uaranteed Delivery eal:Price No aliPrice Currenc aliPrice Format eal Price Format
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Settlement Parties

Receiving Agent CLEARSTREAM 83611 Additional Information	
Additional Information Control of the Control of th	Transfer and a grade
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19/10/09 Page 7/80

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16.40 CET Clearstream Banking - CreationOnline BSMauro References Type Reference 02M89A0005AK Clearstream 0000000065486555 CA Notification History Status Reason/Narrative CET Timestamp User Activity Settled 19/10/09 16:06 19/10/09 16.06 Processing Accepted 19/10/09 16 06 Processing Receipt **Current Activity-Status**

CETITIMOstamip U.V. User Activity Status Reason Narrative

Settlement

Settled

19/10/09 16.06

16.40 CET Clearstream Banking - CreationOnline **BSMauro**

Security Post Release

Trade Details Trace Details

Type of Sottlement Trascolony

Pool Reference

Function of Message

Instructional Message

Activity Status

ISIN Code Securities Blocking New Message Receive Free Settlement-Settled XS0213416141 ommon Gode inancial linstrument Description Place of Safeke epind common Code Trype Respon Narretive Sander's Reservice, 021341614 EUR 8,25 LEHMAN BROS.TREAS.CO.BV(W8 S)05-2035 IRVTGB2XGPT Single Senderts Reference
Last Update
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Common Reference
Sifekcebing Account
Quantity of Enandallins trument
Quantity Type
Processing Indicator
Reguested Settlement
Closing Date
Priority
Settlement Amount
Currency
Guaranteed Delivery NONREF 19/10/09 16.06 83611 - BANCA ALETTI + C. SPA-CLIENT A/C Face Amount 19/10/09 19/10/09 0 00 Normal Priority Chirrency
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Settlement Parties

Settlement Parties
Clearstream Depository
BIC:Address
Place of Settlement
PhysicalsTransaction
Immediate Release
Delivering Agent
Party;
Description [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX · Clearstream No Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C ettlement Processing Narrati CONSBLOK XS0213416141 OCE CONSENT YOUR INX

Settlement Parties

Receiving Agent	CLEARSTREAM	Accounts 83611
Additional Information	工事 机工工机 人名英格兰 经营销	[18] [18] [18] [18] [18] [18] [18] [18]
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Page 15/80 19/10/09

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16.40 CET Clearstream Banking - CreationOnline BSMauro

References

Type 02M89A0005AS

Clearstream CA Notification

0000000065486558

History

Status Reason Narrative CET Timestamp Settled Settlement 19/10/09 16 06 Processing Accepted 19/10/09 16.06 Receipt 19/10/09 16:06 Processing

Current Activity-Status

Activity Status Reason Narratives CET.Timestamp User Settled 19/10/09 16:06 Settlement

19/10/09

Clearstream Banking - CreationOnline 16.40 CET **BSMauro Security Post Release Trade Details** Type of SettlementsTrasaction
Pool Reference
Function of Message
Instruction Type
Activity Status
ISIN Code Securities Blocking New Message Receive Free Settlement-Settled XS0229269856 Common Code 022926985 Financial Instrument Description Place of Safe keeping Common Code Type Reason Narrative Sender's Reference Last Update EUR 5,125 LEHMAN BROS. UK CAP.FUND.II(1)05-XX **IRVTGB2XGPT** Single NONREF 19/10/09 16:06 Previous Reference Deal Reference Deal, Reference
Common Reference
Safekeeping Account
Quantity of Financial Instrument
Quantity Types
Processing Indicator
Requested Settlement Date
Trade Date Time 83611 - BANCA ALETTI + C SPA-CLIENT A/C 15 000 Face Amount 19/10/09 19/10/09 0.00 Closing Date Priority
Softlement Amount
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Transaction Indicator. Actual Settled Amount

Exp./Eff: Settlement Date Exp./Eff. Value Date

Settlement Parties

Clearstream Depository BIC Address: Place of Settlement.... Physical Transaction : linmediate Release Delivering Agent

Party

[6B] BKNY MELLON, LDN

IRVTGB2XGPT

Settlement Activity

19/10/09

CEDELULLXXX - Clearstream No

No Clearstream 83611

Yes

BANCA ALETTI + C. SPA-CLIENT A/C

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Settlement Parties

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16.40 CET Clearstream Banking - CreationOnline BSMauro References Type : Reference 02M89A0005AV Clearstream 0000000065486638 CA Notification History Status Reason Narrative CET Time stamp Settled 19/10/09 16:06 Settlement Accepted Processing 19/10/09 16:06 Receipt 19/10/09 16:06 Processing

Current Activity-Status

Status Reason Narrative CET Timestamp User Settled 19/10/09 16:06 Settlement

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Page 32/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro**

Security Post Release

Trade Details Type of Settlement Trasaction Pool Reference Securities Blocking Function of Message Instruction Type New Message Receive Free Instruction (1995) Activity-Status Settlement-Settled ISIN Code XS0220704109 Common Code Financial instrument Description 022070410 EUR 7 LEHMAN BROS.TREAS.CO.BV 05-2017 Place of Safekeeping IRVTGB2XGPT Common Code Type Reason Narrative Single Sender's Reference Last Update NONREF 19/10/09 16:06 Last: Update
Previous Reference
Deal: Reference
Common Reference
Safekeeping: Account:
Quantity of Financial linstrument
Quantity Type
Processing Indicator
Requested Settlement Date
Trade Date/Time 83611 - BANCA ALETTI + C SPA-CLIENT A/C 65.000 Face Amount 19/10/09 19/10/09 0.00 Trade Date/Time
Closing Date
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Settlement Amount
Currency
Guaranteed Delivery
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Taxable Income Par Share
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Best Matching Instruct Settlement Parties

Clearstream Depository BIC Address Place of Settlement [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream Physical Transaction Immediate Release No No

Délivering Agent Clearstream Party 83611

Déscription BANCA ALETTI + C. SPA-CLIENT A/C

CONSBLOK XS0220704109 OCE CONSENT YOUR INX Settlement Processing Narrative

Settlement Parties

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Type	Format	Party	Account
Receiving Agent	CLEARSTREAM	83611	
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BSMauro Clearstream Banking - CreationOnline 16.40 CET

References

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History

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 Activity
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 Reason Narrative

 19/10/09 16.06
 Settlement
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Current Activity-Status

19/10/09

16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** THE CONTRACTOR ASSESSMENT OF THE STREET ASSESSMENT OF THE STREET OF THE **Trade Details** Type of Settlement Trasaction Securities Blocking Pool Reference
Function of Message
Instruction Type
Activity-Status:
ISIN Code New Message Receive Free Settlement-Settled IT0006578600 Common Code Financial Instrument Description 023985071 EUR 7 LEHMAN BROTHERS (FXR-FLR)05-2017 Riace of Safekeeping Common Code Type Reason Narrative Sender is Reference Last Update MOTIFIMMXXX Single NONREF 19/10/09 16 06 Last Update
Previous Reference
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Safekeeping Account
Quantity of Financial Instrument
Quantity Type 83611 - BANCA ALETTI + C SPA-CLIENT A/C 140 000 Face Amount Processing indicator
Requested Settlement Date
Trade Date/Time
Closing Date 19/10/09 19/10/09 0.00 Priority
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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Type Reference 02M89A0005B4 Clearstream 0000000065487145 **CA Notification** History Status Reason Narrative Settled 19/10/09 16 06 Settlement Accepted 19/10/09 16.06 Processing Receipt 19/10/09 16.06 Processing

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19/10/09 Page 44/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro**

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83611 - BANCA ALETTI + C. SPA-CLIENT A/C

Securities Blocking

New Message Receive Free Settlement-Settled XS0276162327 027616232

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Security Post Release

Trade Details Trade Datails
Type of Settlement Trasaction:
Pool Reference:
Function of Message
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Activity Status
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Common Code
Financial Instrument Description
Place of Safekeeping

Place of Safe ke eping Common Code Type Reason Narrative

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EXHIBIT 4

Written Consent June 9, 2005

06/0B/2005

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UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT.

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RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outmanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and ourstanding on the date hereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, ourstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Grazenteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an arrorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive swidence of approval thereof; and to do such other acts and things as may be advisable or nacessary in order to effect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that say and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

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Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
1.	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3.	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
18.	Lehman Re Limited	No

ii BANCA ALETTI

United States Bankruptcy Court/Southern District of New York

Epiq Bankruptcy Solutions, LLC Attn: Lehman Brothers Holdings Claims Processing 757 Third Avenue, 3rd Floor New York, NY 10017

Banca Aletti & C. S.p.a.
Tel. +390243358107
Fax +390243358364
E-mail:
massimo.zerbino@alettibank.it

Ocotber 23, 2009

BANCA ALETTI & C. S.P.A. - PROOF OF CLAIM AGAINST LEHMAN BROTHERS HOLDINGS INC.

To: United States Bankruptcy Court/Southern District of New York

Enclosed please find two original copies of the proofs of claim hereby filed by Banca Aletti & C. S.p.a. against Lehman Brothers Holdings Inc., together with photocopies of the original proofs of claims. Please retain and time-stamp the original proofs of claim and please time-stamp and return the photocopies (time-stamped as "RECEIVED") by using the enclosed prepaid envelop.

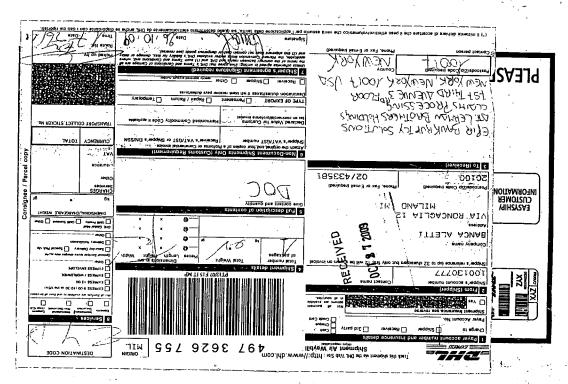
Kind regards,

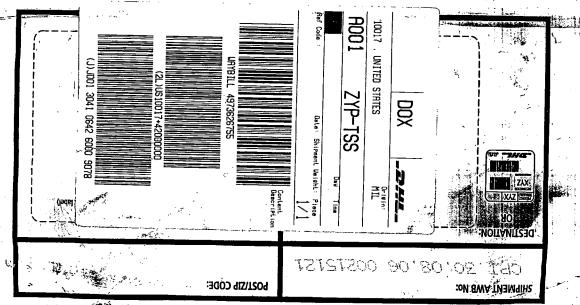
Banca Aletti & C. S.p.A.

General Manager

Mr. Maurizio Zarcanaro







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	ankruptcy Court/Southern Di	-	LEHMAN	SECURITIES PROGRAMS
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New York, NY In Re:	10150-5076	Chapter 11		₀₈₋₁₃₅₅₅ (JMP) 0000049792
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Debtors.		(Jointly Administered)		
Note: This form Programs Secur	may not be used to file claims ities as listed on http://www.le	other than those based on Lehman hman-docket.com as of July 17, 2009	111118861	
Name and addre	ess of Creditor: (and name and	address where notices should be sent if diff	ferent from Creditor)	☐ Check this box to indicate that this claim amends a previously filed claim.
-	Commerciale della Repubblic	a di San Marino S.p.A.		Court Claim Number:
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Attention: Mr. S	Sterano Cataldo			Filed on:
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2. Provide the more than one	International Securities Identificheman Programs Security, yo	cation Number (ISIN) for each Lehman Pr u may attach a schedule with the ISINs for	ograms Security to which this clair the Lehman Programs Securities to	n relates. If you are filing this claim with respect to o which this claim relates.
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Date. October 23, 2009	or other person authorized to	this claim must sign it. Sign and print nam file this claim and state address and teleph copy of power of attorney if any.	one number if different from the	OCT 27 2009
	Name: Luigi Lonfernini Titl	buil her		EPIQ BANKRUPTCY SOLUTIONS, LLC
	Panalty for presenting fre	rudulent claim: Fine of up to \$500,000 of in	morisonment for up to 5 years, or b	oth, 18 U.S.C. §§ 152 and 3571

	X
Debtors.	(Jointly Administered)
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
In re:	Chapter 11
UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK	X

ANNEX TO PROOF OF CLAIM OF <u>BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO</u> S.P.A.

- 1. <u>Claimant</u>. Banca Agricola Commerciale della Repubblica di San Marino S.p.A. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the respective Euroclear Bank electronic instruction reference number and the Euroclear Bank account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 is provided in Exhibit 1 attached hereto.

attached hereto. The Program Securities have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim</u>. The Claimant is authorized to act on behalf of the holders of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 1,931,973.42, <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on <u>Exhibit 2</u> attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or

person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

EXHIBIT 1

ISIN Code	Euroclear electronic instruction reference #	Euroclear account #	Sub- custodian account #	Issuer	Nominal Amount
XS0176153350	6034125	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 40,000.00
XS0181945972	6034129	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 300,000.00
XS0208459023	6034137	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 500,000.00
XS0211093041	6034139	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 500,000.00

EXHIBIT 2

ISIN Code	Nominal Amount (EUR)	Interest** (EUR)	Total (EUR)	Nominal Amount (USD) *	Interest (USD) *	Total (USD) *
XS0176153350	40,000.00	1,137.07	41,137.07	56,604.00	1,609.07	58,213.07
XS0181945972	300,000.00	7,176.54	307,176.54	424,530.00	10,155.52	434,685.52
XS0208459023	500,000.00	11,177.10	511,177.10	707,550.00	15,816.71	723,366.71
XS0211093041	500,000.00	5,765.05	505,765.05	707,550.00	8,158.12	715,708.12
Total Claim Am	ount				USD 1,93	1,973.42

^{*}Amounts due in EUR have been converted to US Dollars using the exchange reference rate published on Web Site of Banca D'Italia, section "Euro Foreign Exchange references rates as of 15 Sep 2008" (www.bancaditalia.it/banca_ centrale/cambi/rif/2008/09/cambi_rif_1509_en.htm) for September 15, 2008: 1 EUR = 1.4151 USD

^{**} Interest accrued until September 14, 2008 (included).

08-13555-mg Doc 52612-1 Filed 04/27/16 Entered 04/27/16 18:48:52 Attachment A Pg 160 of 198

EXHIBIT 3

Evidence of Ownership



BANCA AGRICOLA COMMERCIALE Della Repubblica di San Marino Via Oddone Scarito 13 Borgo Maggiore **RSM**

Lugano, 08.10.2009

LEHMAN BROTHERS BONDS

Dear Sirs,

we hereby confirm you that, at present date, we have deposited on your behalf, on our 12462 account with Euroclear Bank, the securities of the attached list.

Best regards.

UNICREDIT (SUISSE) BANK SA Vado h

MAILEUNICREDITSUISSE.CH

WWW.UNICREDITSUISSE.CH

XS0208459023

XS0254171191

XS0252835110

XS0183944643 XS0268648952 XS0181945972 XS0211093041

XS0176153350 XS0179304869 XS0252834576 XS0189741001

XS0128857413

ISIN CODE

CURR

AMOUNT

ACC. AT EUROCLEAR BAC ACC. WITH UNICREDIT SUISSE

200301 200301 200301 200301 200301 200301 200301 200301 200301 200301 200301

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2		

6 3/8 LEHMAN BROS 2011 4 LEHMAN BROS 2011 **4.75 LEHMAN BROS 2014** LEHMAN BROS 2011 FLR LEHMAN BROS 2016 FLR LEHMAN BROS 2016 FLR **LEHMAN BROS 2013 FLR LEHMAN BROS 2008 FLR** LEHMAN BROS 2014 FLR **LEHMAN BROS 2015 FLR** LEHMAN BROS 2011 FLR LEHAMAN BROS 2016 FLR 950'000 500'000 500'000 300'000 250'000 220'000 220'000 170'000 56'000 40'000 20'000 Lugano, 12.10.2009 12462 12462 12462 12462 12462 12462 12462 12462 12462 12462

Custody: Valid Instructions - 08/10/09 13:22:32

VALIDATED INSTRUCTIONS ACCOUNT 12462 08/10/09 06:1B EUCLID VALIDATION DATE: 06/10/2009 CUSTODY SERVICE 79:TYP-SUBT-CPN 35A:NOMINAL...AMOUNT 30:SET.DAT 72:P-N.DAT (E/C REF) 20:YOUR...REFERENCE USER....NBR TIMESTAMP SECURITY..... DESCRIPTION CUR EUCLID.STAT PARTSTAT 35B: 77D: REGISTRATION (RG) 87F: CORRESPONDENT (CO) 88D: BENEFICIARY (BE) 77R:CERTIFICATION (CE) 80B:PROXY (PR) 79: REC: REMARKS TO RECIPIENT (RR) 72:EOC:REMARKS TO EOC MESSAGES FROM EOC 35A: 20000 30:051009 72: (6030507) 79: 37-20:LEHMAN 1 17:18:18

35B:XS0128857413 LEHMAN BROTHERS HOL 6.37500 10/05/11 EUR IPRO (FUT)
88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
CONTACT SIMONA PACI 00378 871254 AMM.TITOLI AT BAC.SM

0041 91 9109559

72:EOC:P. ALESSANDRINI

Custody: Valid Instructions - 08/10/09 13:21:44

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ACCOUNT 12462
VALIDATED INSTRUCTIONS
                                                     08/10/09
                                                     06:18
          EUCLID VALIDATION DATE: 08/10/2009
CUSTODY SERVICE
79:TYP-SUBT-CPN 35A:NOMINAL...AMOUNT 30:SET.DAT 72:P-N.DAT (E/C REF)
  20:YOUR...REFERENCE USER....NBR TIMESTAMP
                SECURITY..... DESCRIPTION CUR EUCLID.STAT PARTSTAT
 77D: REGISTRATION (RG)
 87F: CORRESPONDENT (CO)
 88D:BENEFICIARY (BE)
 77R:CERTIFICATION (CE)
 80B:PROXY (PR)
 79: REC: REMARKS TO RECIPIENT (RR)
 72:EOC:REMARKS TO EOC
MESSAGES FROM EOC
                          250000 30:071009 72:
                35A:
  20:LEHMAN 12
                                 16:15:18
35B:XS0268648952 LEHMAN BROTHERS HOL 4.25000 00/09/16 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                    STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
                                          0041 91 910 9536
 72:EOC:WEBER SACHA
                35A:
                          950000
                                   30:071009 72:
                                                            (6034146)
79: 37-
  20:LEHMAN 11
                                 16:14:45
                                            00/05/16 EUR IPRO (FUT )
35B:XS0254171191 LEHMAN BROTHERS HOL VAR
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                       STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
                                          0041 91 910 9536
 72:EOC:WEBER SACHA
                                   30:071009 72:
                                                            (6034144)
                         5250000
                35A:
79: 37-
  20:LEHMAN 10
                                 16:14:15
                                            04/05/11 EUR IPRO (FUT )
35B:XS0252835110 LEHMAN BROTHERS HOL VAR
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                      STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
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79: 37-
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                                                            (6034141)
                35A:
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  20:LEHMAN 9
                                 16:13:47
35B:XS0252834576 LEHMAN BROTHERS HOL 4.00000 04/05/11 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
     EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286
                                          0041 91 910 9536
 72:EOC:WEBER SACHA
                                   30:071009 72:
                                                            (6034139)
                           500000
79: 37-
  20:LEHMAN 8
                                 16:13:25
35B:XS0211093041 LEHMAN BROTHERS TRE 7.50000 16/02/15 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                      STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
 72:EOC:WEBER SACHA
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                35A:
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                                    30:071009 72:
                                                            (6034137)
79: 37-
  20:LEHMAN 7
                                 16:12:51
 35B:XS0208459023 LEHMAN BROTHERS TRE 4.00000 30/12/16 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                       STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
 72:EOC:WEBER SACHA
                                           0041 91 910 9536
                                   30:071009 72:
                                                            (6034133)
79: 37-
                           200000
                                 16:12:28
  20: LEHMAN 6
                                             00/04/11 EUR IPRO (FUT )
 35B:XS0189741001 LEHMAN BROTHERS HOL VAR
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
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Custody: Valid Instructions - 08/10/09 13:21:44

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0041 91 910 9536

72:EOC:WEBER SACHA

00 13.30.50

EXHIBIT 4

Written Consent June 9, 2005

06/08/2005

16:41

LEHMAN → 916467582653

NO.504

P01

UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees.

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent.

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries.

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT,

06-09-05 11:31 JDM INVESTMENTS ID=2023380294

P.02

DE. 85. 2005 X/E/325

29:.: 16:41

...±-MAN + 3164675€2653

P04 พก. 292 DIX NO.504

RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and ourstanding on the date bereof, whother in the form of a separately executed individual guarantee or otherwise, shall remain issued, ourstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegee thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an attorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June

John D. Macomber

06/08/2005

16:41

LEHMAN → 916467582653

ND.504

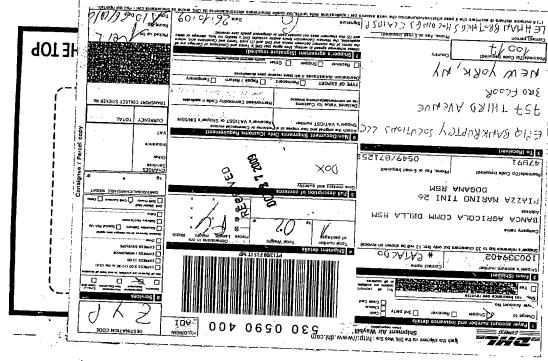
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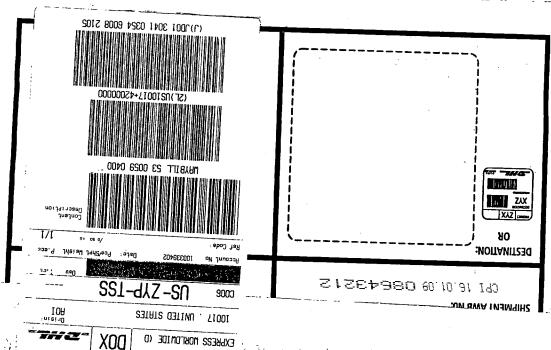
Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?	
1.	Lehman Brothers Asia Holdings Limited	No	
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)	
3.	Lehman Brothers Commercial Bank	No	
4.	Lehman Brothers Commercial Corporation	No	
5.	Lehman Brothers Commercial Corporation Asia Limited	No	
6.	Lehman Brothers Equity Finance (Cayman) Limited	No	
7.	Lehman Brothers Finance S.A.	No	
8.	Lehman Brothers Holdings Plc	Yes	
9.	Lehman Brothers International (Europe)	Yes	
10.	Lehman Brothers Japan Inc.	No	
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No	
12.	Lehman Brothers (Luxembourg) S.A.	No	
13.	Lehman Brothers OTC Derivatives Inc.	No	
14.	Lehman Brothers Securities Asia Limited	No	
15.	Lehman Brothers Securities N.V.	No	
16.	Lehman Brothers Special Financing Inc.	No	
17.	Lehman Brothers Treasury Co. B.V.	No	
18.	Lehman Re Limited	No	

EXTREMELY URGENT DO NOT SEND CASH, CASH EQUIVALENT OR JEWELLERY.

DHL's liability shall not exceed US\$ 100 for any shipment. See conditions of carriage on the airbill.





08-13555-mg Doc 52612-1 Filed 04/27/16 Entered 04/27/16 18:48:52 Attachment A Pg 171 of 198

	5076		Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al.
	y not be used to file claim:	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered) s other than those based on Lehman man-docket.com as of July 17, 2009	08-13555 (JMP) 0000050309
Name and address of C	Creditor: (and name and address with	ess where notices should be sent if different from Control of the copy to:	Check this box to indicate that this claim amends a previously filed claim.
UBS AG 677 Washington Blvd Stamford, CT 06901	l. 399 Nev	gham McCutchen LLP Park Avenue w York, NY 10022	Court Claim Number:(if known)
Att'n: Bert Fuqua, I Tel.: 203.719.4038 Email:bert.fuqua@u	bs.com jo	tt'n: Joshua Dorchak, Esq. 12.705.7784 shua.dorchak@bingham.com	Filed on:
Name and address whe	re payment should be sent (if	different from above)	☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number:		Email Address:	
Securities as of Septem claim matured or becar as applicable on Septer claim amounts for each	ther 15, 2008, whether you over the fixed or liquidated before an or 15, 2008. If you are filing Lehman Programs Security to To be determined - See attached	when the Lehman Programs Securities on September or after September 15, 2008. The claim amount mung this claim with respect to more than one Lehman to which this claim relates. Ached Appendix and Schedule	nust be the amount owed under your Lehman Programs r 15, 2008 or acquired them thereafter, and whether such ist be stated in United States dollars, using the exchange rate a Programs Security, you may attach a schedule with the cipal amount due on the Lehman Programs Securities.
			ty to which this claim relates. If you are filing this claim the Lehman Programs Securities to which this claim
3. Provide the Clearstr (each, a "Blocking Nur (i.e., the bank, broker of Security, you may attack	eam Bank Blocking Number, nber") for each Lehman Prog or other entity that holds such the a schedule with the Blockin ocking Number, Euroclear I	rams Security for which you are filing a claim. You securities on your behalf). If you are filing this claing Numbers for each Lehman Programs Security to	r other depository blocking reference number, as appropriate u must acquire a Blocking Number from your accountholder im with respect to more than one Lehman Programs which this claim relates. and or other depository blocking reference number:
4. Provide the Clearstr filing this claim. You bank, broker or other en Accountholders Euro	eam Bank, Euroclear Bank or must acquire the relevant Clea ntity that holds such securities clear Bank, Clearstream Ba		•
See attached Appendi 5. Consent to Eurocle		or Other Depository: By filing this claim, you co	onsent to, FOR COURT USE ONLY
	n Programs Securities to the l Signature: The person fili of the creditor or other pers	Clearstream Bank or other depository to disclose your Debtors for the purpose of reconciling claims and ding this claim must sign it. Sign and print name and on authorized to file this claim and state address and enotice address above. Attach copy of power of att	our identity istributions. title, if any, d telephone

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or interisbility for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

James B. Fuqua
Managing Director and Counsel
Region Americas Legal

Region Americas Legal

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

INFORMATION

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable.

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009.

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Claims to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

Appendix

This Proof of Claim (this "Claim") is filed by UBS AG ("Claimant") against Lehman Brothers Holdings Inc. ("Debtor").

This Claim is based on Debtor's issuance or guarantee, as applicable, of the Program Securities listed on the attached Schedule.

The precise amount of this Claim cannot be determined at this time, as it may depend on factors outside Claimant's knowledge and beyond Claimant's control. In each case, the Claim includes principal, accrued interest, any enhanced return on principal, and expenses to the extent permitted by the governing documents and applicable law.

Accordingly, the aggregate amount of this Claim is to be determined.

Because these securities are Lehman Program Securities, as defined in the Court's July 2, 2009 order, Claimant is not required to complete a Guarantee Questionnaire or to provide any information other that that provided herein in support of this Claim.

Reservation of Rights

This Claim is filed under the compulsion of the bar date established in these chapter 11 proceedings and is filed to protect Claimant from forfeiture of claims by reason of said bar date. Claimant reserves its right to amend and/or supplement this Claim for the purposes and to the extent permitted by applicable law.

Claimant reserves all of its rights and defenses, whether under title 11 of the United States Code or other applicable law, as to any claims that may be asserted against Claimant by Debtor, including, without limitation, any rights of setoff and/or recoupment not expressly asserted above. Claimant further reserves all of its rights as against the other debtors in these chapter 11 proceedings.

Claimant further reserves all rights accruing to it, and the filing of this Claim is not and shall not be deemed or construed as (i) a waiver, release, or limitation of Claimant's rights against any person, entity, or property (including, without limitation, Debtor or any other person or entity that is or may become a debtor in a case pending in this Court); (ii) a consent by Claimant to the jurisdiction or venue of this Court or any other court with respect to proceedings, if any, commenced in any case against or otherwise involving Claimant; (iii) a waiver, release, or limitation of Claimant's right to trial by jury in this Court or any other court in any proceeding as to any and all matters so triable herein, whether or not the same be designated legal or private rights or in any case, controversy, or proceeding related hereto, notwithstanding the designation or not of such matters as "core proceedings" pursuant to 28 U.S.C. § 157(b)(2), and whether such jury trial right is pursuant to statute or the U.S. Constitution; (iv) a consent by Claimant to a jury trial in this Court or any other court in any proceeding as to any and all matters so triable herein or in any case, controversy, or proceeding related hereto, pursuant to 28 U.S.C. § 157(e) or otherwise; (v) a waiver, release, or limitation of Claimant's right to have any and all final orders in any and all non-core matters or proceedings entered only after de novo review by a U.S. District Court Judge; (vi) a waiver of the right to move to withdraw the reference with respect to the subject matter of this Claim, any objection thereto or other proceeding which may be commenced in this case against or otherwise involving Claimant; (vii) an election of remedies; or (viii) a consent to the final determination or adjudication of any claim or right pursuant to 28 U.S.C. § 157(c).

Schedule

Program Securities held by Claimant:

ISIN Number	Face Amount (Orig Currency)	Orig Currency	Face Amount (USD)	Euroclear Bank Electronic Instruction Reference Number	Euroclear Bank Account Number
CH0026915527	6755000	CHF	\$6,019,259.82	6056973	91672
CH0026985082	255000	CHF	\$227,225.94	6056972	91672
XS0128857413	1233000	EUR	\$1,740,910.89	6056971	91672
XS0176153350	110000	EUR	\$155,312.41	6056970	91672
XS0179304869	545000	EUR	\$769,502.38	6056969	91672
XS0183944643	6052000	EUR	\$8,545,006.25	6056968	91672
XS0205185456	1079000	EUR	\$1,523,473.52	6054005	91672
XS0213416141	2634000	EUR	\$3,719,026.18	6056967	91672
XS0213899510	258000	EUR	\$364,278.19	6056966	91672
XS0213971210	100000	EUR	\$141,193.10	6056965	91672
XS0215349357	9000	EUR	\$12,707.38	6056964	91672
XS0216921741	1000000	EUR	\$1,411,930.97	6056963	91672
XS0218304458	1000000	EUR	\$1,411,930.97	6056962	91672
XS0220152069	35000	EUR	\$49,417.58	6056961	91672
XS0224346592	460000	EUR	\$649,488.25	6056960	91672
XS0232364868	1000000	EUR	\$1,411,930.97	6056959	91672
XS0243852562	1500000	EUR	\$2,117,896.46	6056958	91672
XS0257022714	2000000	EUR	\$2,823,861.95	6056957	91672
XS0268648952	13050000	EUR	\$18,425,699.21	6056956	91672
XS0272543900	2000000	EUR	\$2,823,861.95	6056955	91672
XS0282937985	100000	EUR	\$141,193.10	6056954	91672
XS0282978666	270000	EUR	\$381,221.36	6056953	91672
XS0283497005	5256000	EUR	\$7,421,109.20	6056952	91672
XS0287044969	50000	EUR	\$70,596.55	6056951	91672
XS0293166517	3000000	EUR	\$4,235,792.92	6056950	91672
XS0307745744	300000	EUR	\$423,579.29	6056948	91672
XS0326006540	5550000	EUR	\$7,836,216.90	6056947	91672
XS0360242910	1500000	EUR	\$2,117,896.46	6056946	91672
XS0361644098	500000	EUR	\$705,965.49	6056945	91672
XS0252834576	200000	EUR	\$282,386.19	6056944	91672
XS0281520352	2754000	EUR	\$3,888,457.90	6056943	91672
XS0210414750	152000	GBP	\$269,648.15	6056942	91672
XS0251195847	5000000	GBP	\$8,870,004.80	6056941	91672
XS0259010022	500000	USD	\$500,000.00	6056908	91672
XS0342095881	1900000	USD	\$1,900,000.00	6056498	91672
XS0346438657	1000000	USD	\$1,000,000.00	6054490	91672

Amount of Claim: <u>TO BE DETERMINED</u>, including principal, accrued interest, any enhanced returns on principal, and expenses to the extent permitted by the governing documents and applicable law. For securities denominated in currency other than U.S. dollars, the amount of the claim, once determined, will be converted to U.S. dollars at the applicable exchange rate.

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10/28/07 DATE

TIME

08-13555-mg Doc 52612-1 Filed 04/27/16 Entered 04/27/16 18:48:52 Attachment A Pg 176 of 198

United States I	ankruptcy Court/Southern Dist	rict of New York		
	rs Holdings Claims Processing C	Center		SECURITIES PROGRAMS PROOF OF CLAIM
FDR Station, P	aptcy Solutions, LLC O. Box 5076			
New York, NY In Re:	10150-5076	Chantan II		- Southern District of New York Brothers Holdings Inc., Et Al.
	rs Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)		08-13555 (JMP) 0000050827
Note: This form		other than those based on Lehman nan-docket.com as of July 17, 2009		
Name and addr	ess of Creditor: (and name and a	ddress where notices should be sent if diff	Ferent from Cicunoi)	u cneck this box to indicate that this claim amends a previously filed claim.
Banca Popolare Via Ottavio Se	e di Puglia e Basilicata S.C.p.A.			Court Claim Number:
70022 Altamur	•			(If known)
Italy Attention: Mr.	Renato Quaranta			
				Filed on:
Telephone num	ber: +39 080 8710342	Email Address: tesoreria.integrata@ban	capopolaredipugliaebasilicata.it	
Name and addr	ess where payment should be sen	t (if different from above)		☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone num	ber:	Email Address:]		
September 15, fixed or liquida 2008. If you ar	2008, whether you owned the Le ted before or after September 15	hman Programs Securities on September, 2008. The claim amount must be stated	15, 2008 or acquired them thereaft in United States dollars, using the	owed under your Lehman Programs Securities as of ter, and whether such claim matured or became exchange rate as applicable on September 15, in the claim amounts for each Lehman Programs
Amount of Cla	im: See attached	(Required)		
Check this	oox if the amount of claim includ	les interest or other charges in addition to	the principal amount due on the L	ehman Programs Securities.
		ation Number (ISIN) for each Lehman Promay attach a schedule with the ISINs for		nn relates. If you are filing this claim with respect to o which this claim relates.
International	Securities Identification Numbe	er (ISIN): See attached	(Required)	
"Blocking Nun broker or other schedule with t	ber") for each Lehman Programs entity that holds such securities of the Blocking Numbers for each L	s Security for which you are filing a claim on your behalf). If you are filing this clair ehman Programs Security to which this c	 You must acquire a Blocking None with respect to more than one Localizations. 	ocking reference number, as appropriate (each, a umber from your accountholder (i.e. the bank, ehman Programs Security, you may attach a
Clearstream I	ank Blocking Number, Eurocl	ear Bank Electronic Instruction Refere	nce Number and or other depos	itory blocking reference number:
See attached	(Requir			
claim. You mu	st acquire the relevant Clearstrea	ik or other depository participant account m Bank, Euroclear Bank or other deposite Beneficial holders should not provide th	ory participant account number fro	rograms Securities for which you are filing this om your accountholder (i.e. the bank, broker or other
Accountholde	rs Euroclear Bank, Clearstrear	n Bank or Other Depository Participan	t Account Number:	
See attached	(Requir	ed)		
deemed to have	e authorized, Euroclear Bank, Clo grams Securities to the Debtors f	Bank or Other Depository: By filing the carstream Bank or other depository to discort the purpose of reconciling claims and of the purpose of	close your identity and holdings distributions.	FRED / RECEIVED
Date. October 27 th, 2009	or other person authorized to fi	nis claim must sign it. Sign and print nam le this claim and state address and telepho opy of power of attorney, if any	one number if different from the	OCT 2 8 2009
! 	Name: Raffaele D'Ecclesiis	Title: President W Au	,	EPIO BANKRUPTCY SOLUTIONS, LLC
	Penalty for presenting frau	dulent claim: Fine of up to \$500,000 or in	nonsonment for up to 5 years, or b	ootn. 18 U.S.C. §§ 152 and 3571

UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK	
In re:	-X Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP
Debtors.	(Jointly Administered)

ANNEX TO PROOF OF CLAIM OF BANCA POPOLARE DI PUGLIA E BASILICATA S.C.P.A.

- 1. <u>Claimant</u>. Banca Popolare di Puglia e Basilicata S.C.p.A. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- 2. <u>Transactions Between the Parties.</u> The Claimant is the holder and is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the respective Clearstream Bank blocking reference number and the Clearstream Bank, account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 is provided in Exhibit 1 attached hereto. The Program Securities have the benefit of an express, unconditional and

irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim.</u> The Claimant is the holder and is authorized to act on behalf of the holders of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 1,228,803.16, <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on Exhibit 2 attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the

jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

EXHIBIT 1

ISIN Code	Clearstream blocking reference #	Clearstream Account #	Sub-custodian account #	Iusser	Nominal Amount
XS0176153350	CA81609	81999	99/1/5385/23	Lehman Brothers Treasury Co. B.V	€ 60,000.00
XS0195431613	CA81618	81999	99/1/5385/23	Lehman Brothers Treasury Co. B.V	€ 25,000.00
XS0211093041	CA81648	81999	99/1/5385/22	Lehman Brothers Treasury Co. B.V	€ 400,000.00
XS0213971210	CA81657	81999	99/1/5385/22	Lehman Brothers Treasury Co. B.V	€ 360,000.00

	Monte Titoli blocking			Nominal
ISIN Code	reference #	Monte Titoli Account #	Iusser	Amount
IT0006578600	82032999	5385.00	Lehman Brothers Treasury Co. B.V	€ 10,000.00

EXHIBIT 2

ISIN CODE	Nominal Amount (FOREIGN CURRENCY)	Interest ** (FOREIGN CURRENCY)	Total (FOREIGN CURRENCY)	Nominal Amount (USD) *	Interest (USD) *	Total (USD) *
IT0006578600	€ 10,000	€ 196.63	€ 10,196.63	14,201.00	279.23	14,480.23
XS0176153350	€ 60,000	€ 1,710.25	€ 61,710.25	85,206.00	2428.73	87,634.73
XS0195431613	€ 25,000	€211.18	€ 25,211.18	35,502.50	299.90	35,802.40
XS0211093041	€ 400,000	€ 4,624.66	€ 404,624.66	568,040.00	6,567.48	574,607.48
XS0213971210	€ 360,000	€ 3,550.68	€ 363,550.68	511,236.00	5,042.32	516,278.32
Total Claim A	nount				USD \$	1,228,803.16

^{*} Amounts due in EUR have been converted to US Dollars using the exchange reference rate published by the Bloomberg for September 15, 2008: $1 \, \text{Eur} = 1.4201 \, \text{USD}$

^{**} Interest accrued until September 14, 2008 (included)

EXHIBIT 3

Evidence of Ownership

23. Ott. 2009 16:00

Nr. 2947 P. 1



Lehman Brothers Holdings Inc. Chapter 11, Case No. 08-13555 (JMP) (Jointly Administered)

STATEMENT

Istituto Centrale delle Bauche Popolari S.p.A., represented by Mr. LUCIANO MEROSO, in his capacity as Deputy Manager, having its registered office in Milan, Corso Europa 18, in order to allow its depositor holding Lehman Programs Securities, as defined by the order entered on July 2, 2009 by the U.S. Bankruptcy Court for Southern District of New York, to file a proof of claim against Lehman Brothers Holdings Inc.

CERTIFIES

That, at the date of October 23, 2009, Banca Popolare di Puglia e Basilicata s.c.p.a., having its registered office in Altamura (BA), via Ottavio Serena 13 is the depositor of the Lehman Programs Securities listed below and that Clearstream Bank, at our request, assigned to each Lehman Programs Security a blocking reference number, as stated below.

Issuer	ISIN Code	Currency	Nominal Amount	Clearstrc	Clearstream Account #	ICBPI Account #
				Blocking #		
LEHMAN 10/10/13 LKD	XS0176153350	EUR	60,000.00	CA81609	81999	99/1/5385/23
LEHMAN 03/11/08 TV	XS0179304869	EUR	80,000.00	CA81611	81999	99/1/5385/23
LEHMAN 5/4/11	XS0189741001	EUR	25,000.00	CA81613	81999	99/1/5385/23
LEHMAN 21/05/09 TV	XS0193035358	EUR	45,000.00	CA81614	81999	99/1/5385/23
LEHMAN 14/7/14 7%	XS0195431613	EUR	25,000.00	CA81618	81999	99/1/5385/23
LEHMAN 09/11/09 TV	XS0205185456	EUR	20,000.00	CA81642	81999	99/1/5385/23
LEHMAN 09/03/15 4%	XS0213899510	EUR	60,000.00	CA81655	81999	99/1/5385/23
LEHMAN 20/07/12 TV	XS0224346592	BUR	660,000.00	CA81663	81999	99/1/5385/23
LEHMAN 10/12 5.375%	XS0326006540	EUR	50,000.00	CA81669	81999	99/1/5385/23
LEHM TSY 2/15 S/D TM	XS0211093041	EUR	400,000.00	CA81648	81999	99/1/5385/22
LEHMAN 18/03/15 TV	XS0213971210	EUR	360,000.00	CA81657	81999	99/1/5385/22

ISTITUTO CENTRALE DELLE BANCHE POPOLARI SPA-

Capogruppo del Gruppo ICBPI

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23. Ott. 2009 16:01

Nr. 2947 P. 2

-IX ICBPI

Yours faithfully, Istituto Centrale delle Banche Popolari S.p.A.

Luciano Meroso Deputy Manager

Milan, 23 October 2009

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Messaggio/i 717 Pagina 1 di 1



MONTE TITOLI S.p.A. CENTRO ELETTRONICO

[Disclaimer] [Copyright]

EXHIBIT 4

Written Consent June 9, 2005

06/08/2005

16:41

LEHMAN → 916467582653

NO.504 P01

UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT.

06-09-05 11:31 JDM INVESTMENTS

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HD. 290 004 ND. 504 002

RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the deve bereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto:

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an anorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 9, 2005

Richard S. Puld, Jr.

John D. Macomber

06/08/2005

16:41

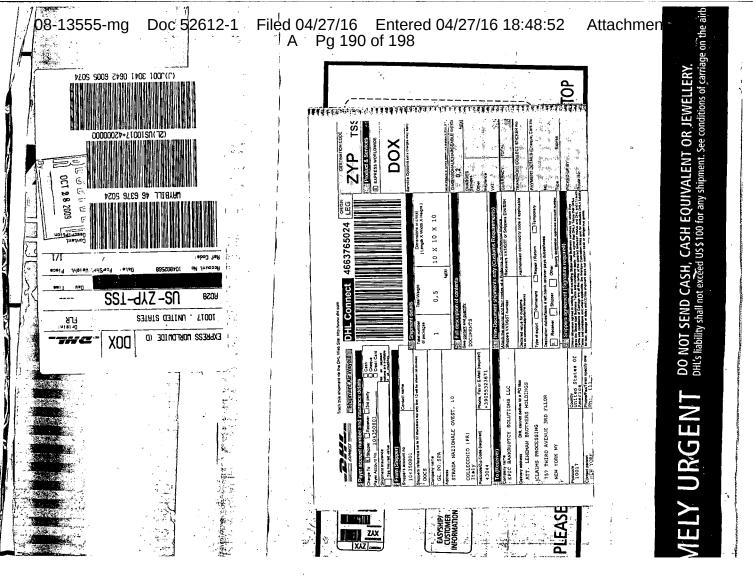
LEHMAN → 916467582653

ND.504

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Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
<u> </u>	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3.	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
18.	Lehman Re Limited	No



Lehman Brothers c/o Epiq Bankrup FDR Station, P.O New York, NY 10		sing Center		CURITIES PROGRAMS OF OF CLAIM
In Re:	Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP)	Lehman Brothers Hold	dings Inc., Et Al.
Debtors.	riolungs mc., et al.,	(Jointly Administered)	08-13555 (J	MP) 0000050861
based on Lehn	m may not be used t nan Programs Securi nnan-docket.com as			
Name and address Creditor)	s of Creditor: (and name	and address where notices should be	sent if different from	Check this box to indicate that this claim amends a previously filed claim.
		Funds Management Company	S.A.	Court Claim Number:
	de Metz, L-1930 L			(If known)
		69 route d'Esch, L-1470 Luxe	_	Filed on: 10/28/39
	er: +41 58 344 49 00Er	nail Address: compliance@s be sent (if different from above)	wisscanto.ch	
i	s where payment should	oe sent (ii different from above)		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone numbe	д: Ег	nail Address:		
Programs Securiti and whether such dollars, using the you may attach a	ies as of September 15, 2 claim matured or becam exchange rate as applica schedule with the claim	2008, whether you owned the Lehman e fixed or liquidated before or after S ble on September 15, 2008. If you are amounts for each Lehman Programs S	Programs Securities on Septem eptember 15, 2008. The claim of filing this claim with respect to Security to which this claim relations	the amount owed under your Lehman nber 15, 2008 or acquired them thereafter, amount must be stated in United States o more than one Lehman Programs Security, ates.
Amount of Clair	n: \$ <u>at least 47.5</u>	<u> 808,109.49 (Required(see a</u>	ttached Annex)	
Check this b	ox if the amount of clain	n includes interest or other charges in	addition to the principal amoun	nt due on the Lehman Programs Securities.
this claim with re- which this claim	spect to more than one L relates.	ehman Programs Security, you may a		which this claim relates. If you are filing for the Lehman Programs Securities to
International Se	curities Identification N	Number (ISIN): XS0292528311	(Required)	
3. Provide the Cle appropriate (each from your account	earstream Bank Blocking , a "Blocking Number") tholder (i.e. the bank, bro	Number, a Euroclear Bank Electroni for each Lehman Programs Security to oker or other entity that holds such se	for which you are filing a claim curities on your behalf). If you	depository blocking reference number, as You must acquire a Blocking Number are filing this claim with respect to more Programs Security to which this claim
Clearstream Bar	nk Blocking Number, E	uroclear Bank Electronic Instructi	on Reference Number and or	other depository blocking reference
number	enclosed list (attachi	ment 2) Point 3	_	
		(Require		
you are filing this	claim. You must acquir	re the relevant Clearstream Bank, Eur	oclear Bank or other depository	ur Lehman Programs Securities for which participant account number from your rs should not provide their personal account
		stream Bank or Other Depository P	•	
	16316	(Required		FOR COURT USE ONLY
consent to, and ar disclose your ider	e deemed to have author	eam Bank or Other Depository: By ized, Euroclear Bank, Clearstream Ba man Programs Securities to the Debte	ank or other depository to	FILED / RECEIVED
Date. 21-10-09	of the creditor or other	filing this claim must sign it. Sign at person authorized to file this claim at n the notice address above. Attach co	nd state address and telephone	OCT 2 8 2009
				EPIO BANKRUPTCY SOLUTIONS, LLC
Penalty		t claim: Fine of up to \$500,000 or in	nprisonment for up to 5 years, o	1-Both. 10 U.S.C. 99 132 and 35/1

or. Gerard Fischer Chairman

Ralf C. Branda Member Board of Directors

Tue

08-13555-mg Doc 52612-1 Filed 04/27/16 Entered 04/27/16 18:48:52 Attachment A Pg 192 of 198

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS_

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy

filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009.

_INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

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Annex to Proof of Claim Form of Swisscanto (LU) Portfolio Funds Management Company S.A.

In addition to the statements set forth on its proof of claim form and in the documents attached hereto and/or submitted in accordance with the applicable procedures, Swisscanto (LU) Portfolio Funds Management Company S.A. ("Swisscanto") states as follows in support of its claim against the Debtor:

- 1. In addition to the amount of its claim, Swisscanto asserts a claim for any and all interest (including default interest), costs, fees, charges and expenses (including attorneys' fees) due and owing or that may become due and owing or otherwise allowable in connection with its clam.
- 2. Swisscanto is filing this proof of claim in anticipation of the claims bar date (the "Bar Date"), which has been set as November 2, 2009, pursuant to the Court's July 2, 2009 Order pursuant to Section 502(b)(9) of the Bankruptcy Code and Bankruptcy Rule 3003(c)(3) Establishing the Deadline for Filing Proofs of Claim, Approving the Form and Manner of Notice Thereof and Approving the Proof of Claim Form. Swisscanto reserves the right to amend, modify, and/or supplement this proof of claim at any time, including, without limitation, for the purpose of asserting additional claims, whether arising from the transactions or documents described in Swisscanto's proof of claim, this attachment, or otherwise. Swisscanto also reserves its rights to assert any and all rights of setoff that it may have against the Debtor in respect of its claims, including, without limitation, the right to set off its claims against any claims that the Debtor (or any successor, assignee or person claiming through the Debtor, as the case may be) may assert against Swisscanto or its successors or assigns, whether or not arising under the transactions set forth in this proof of claim. Swisscanto also reserves its right to pursue claims (including, but not limited to, the claims described herein) against the Debtor based upon additional or alternative legal theories. Swisscanto also reserves the right to assert administrative expense claims.
- 3. Swisscanto reserves its right to seek to have the reference withdrawn with respect to the subject matter of these claims, any objection or other proceedings commenced with respect thereto, or any other proceedings commenced in this case or otherwise involving Swisscanto. By filing this proof of claim, Swisscanto does not intend to submit to the jurisdiction of the Bankruptcy Court for any purpose other than the determination and allowance of this claim.
 - 4. All notices and any distribution with respect to this proof of claim should be sent to:

ARNOLD & PORTER LLP
399 Park Ave, 34th Fl.
New York, NY 10022
Attn: Anthony Boccanfuso
Telephone number: (212) 715-1000
Facsimile: (212) 715-1399

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EUR WMCO Curncy CHF WMCO Curncy
Date PX_LAST Date PX_LAST
15.09.2008 1.4189 15.09.2008

Attachement 1 Claim (incl. nominal and interests) Lehman Exposure of Portfolio Funds Management Company S.A. as of 15.09.08

Claims in USD						
Fund	NISI	Non	Nominal !	Intrest	Interest E	Exposure Total
SW (LU) Portfolio Fund Growth (EUR)	XS0292528311 U	USD 5	567'560.00	6.301%	35'761.83	603'321.83
SW (LU) Portfolio Fund Balanced (EUR)	XS0292528311 USD		1'986'460.00	6.301%	125'166.40	2'111'626.40
SW (LU) Portfolio Fund Yield (EUR)	XS0292528311 USD		993'230.00	6.301%	62'583.20	1'055'813.20
SW (LU) Portfolio Fund Yield	XS0292542494 USD 18'467'303.06	JSD 18'4	67'303.06	3.483%	643'244.21	19'110'547.27
SW (LU) Portfolio Fund Balanced	XS0292542494 USD 17'396'734.77	ISD 17'3	96'734.77	3.483%	605'954.69	18'002'689.46
SW (LU) Portfolio Fund Growth	XS0292542494 USD 6'691'051.83	ISD 6'6	91'051.83	3.483%	233'059.50	6'924'111.33
Total Claims in USD	77. N. T. JUSD 46:102:339.66	ISD 461	02'339'66		£ 7705769.83	47'808'109.49

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